



6th Six Monthly Progress Report

(1st May 2015 to 31st October 2015)

(ARISE)

Project No. DCI-ASIE/2010/021-657

Service Contract No. DCI-ASIE/2012/297-069



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ARISE FACT SHEET

Project Title	ASEAN Regional Integration Supported by EU (ARISE)
Project Number	DCI-ASIE/2010/021-657
Service Contract	DCI-ASIE/2012/297-069
Service Contractor	AETS, in consortium with TRANSTEC, ACE International Consultants, Q.I. and FratiniVergano.
Project Location	ASEAN Secretariat Jakarta (with activities in ASEAN and EU)
Date of Signature of FA	12 December 2011
Contracting Authority	EU Delegation to Indonesia
EUD Task Manager	Ms. Celine Prudhomme (Celine.PRUDHOMME@eeas.europa.eu)
Contact in ASEC	Joel Atienza (joel.atienza@asean.org)
Project Dates	1 November 2012 to 31 October 2016
Project Budget	Total: EUR 7.500.000 (Fees: EUR 5.350.000, Incidentals EUR 2.000.000, Expenditure Verification 150.000)
Working Days available	(Team Leader: 880, Key Experts 2,640, Senior Int'l STE: 2,160, Junior Local STE: 240)
Key Experts 1-5	<p>Team Leader: Mr. Paul Mandl (paul.mandl@asean.org)</p> <p>Transit, Customs and Transport: Mr. Glyn Evans (glyn.evans@asean.org)</p> <p>Standards and Conformance: Mr. Rajinder Raj Sud (rajinder.raj@asean.org)</p> <p>Monitoring and Evaluation: Mr. Keith Atkinson (keith.atkinson@asean.org)</p> <p>Institutional Strengthening: Mr. Paolo Vergano (paolo.vergano@asean.org)</p>
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EXECUTIVE SUMMARY

The first 6 months of the Annual Work Plan 3 (*i.e.*, from 1st May 2015 to 31st October 2015), which this reporting period covers, have been highly demanding for the ARISE team and counterparts. Excellent progress has been made both in terms of activities initiated and results achieved.

Sectoral Bodies, ASEAN Member States (AMSs), ASEAN Secretariat (ASEC), and EU Delegations in the region have regularly been informed of the progress of ARISE.

38 activities for 24 sub-projects were designed for implementation during the Third Annual Work Programme (AWP 3) of ARISE, across all 3 Components. At the end of the first 6 months, 3 activities were completed with 27 still ongoing. A remaining 8 activities are still in the planning stage.

ARISE has organised and held 17 workshops and events during the reporting period. Of these workshops, 7 had a specific CLMV focus. The total number of participants for all events was 853, of which 444 were from CLMV. To date, a total of 2,394 participants have been supported since project inception.

The internal monitoring system on effectiveness still shows an average rating of 5.7 out of possible maximum of 7 for all events held by ARISE, consistently showing excellent results.

Visibility levels for ARISE have increased significantly over the period of AWP 3. Over 4,000 ARISE leaflets have been distributed by the Project, and visits to the Website are on the increase after a slow start. In addition, over 1,200 leaflets, which were produced specifically for the ASEAN Customs Transit System have been distributed to date.

Coordination with other donor agencies continues to be highly effective, with ARISE working with the World Bank and USAid on the creation of National Trade Repositories in Cambodia, Myanmar and the Philippines. In the food sector, good cooperation with DG SANTE has contributed to the establishment of the ASEAN Risk Assessment Centre in Malaysia, and discussions have been launched with FAO to ensure complimentary activities in the area of the ASEAN Food Safety Regulatory Framework. DG TAXUD will share its knowledge and experience in the context of the ongoing development of ASEAN Customs Transit System (ACTS) software. Collaboration with the Asian Development Bank (ADB), under their new Trade and Transport Facilitation project, continues on the preparation of the Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV) for the rollout phase of the ACTS.

MID TERM REVIEW

The Mid-Term Review (MTR) of the ARISE Project was undertaken between April and July 2015. It reviewed the project's progress in terms of achievements relative to targets, provided an assessment of its performance to date, and derived lessons and recommendations for the course of action to be taken until the end of ARISE's implementation toward the end of 2016. Shown below are extracts from the executive summary of the report.



Performance Assessment

The ARISE team are a highly professional and dedicated unit with demonstrably strong project management skills. The experts are highly regarded by all in the ASEC and it is commendable that they have been considered as *“a valued part of the ASEC”*.

Table: Overall Ranking per ARISE Component

	Comp 1	Comp 2A	Comp 2B	Comp 3	Project Average
Relevance	10	9	9	9	Strong
Efficiency	9	8	9	8	Strong
Effectiveness	9	8	8	8	Strong
Actual/Potential Impact	7	9	7	7	Good
Sustainability	7	8	7	5	Good
Overall (Average) Score	Strong	Strong	Strong	Good	Strong

Legend: 10 = Exemplary, 8-9 = Strong, 6-7 = Good, 5 = Satisfactory/Adequate, 3-4 = Below Average, 0-2 = Very Poor/Poor.

Key Findings

- The Overall Work Plan (OWP) has been continually reviewed and the 2 previous Annual Work Plans (AWPs) adjusted in consultation with the Beneficiary in order to reflect changes required by AMSs and channelled through the ASEC.
- High efficiency levels in overall project cycle management have ensured that the ARISE team has been able to deliver a comprehensive and diverse package of activities across each sector of activity.
- Based upon a variety of sources, it is clear that ARISE has consistently maintained high standards across a broad range of topics and with many stakeholders, including the ASEC, the AMSs and a wealth of ASEAN Working Groups and Subsidiary Bodies that ARISE regularly assists and provides technical reports to.
- In each of the main sectors of activity, ARISE has helped establish firm platforms for ongoing activities. In each there are challenges ahead, which are acknowledged.
- Good relations, exchange of information and co-ordination are apparent with the range of other donor projects housed in the ASEC, particularly those supported by GIZ, Australian Development Cooperation, World Bank, ADB, and USAid - as well as complementary EU projects such as COMPASS.
- Two other aspects have contributed to establishing the ARISE *‘brand’* and greatly enhanced EU visibility: 1) the ARISE team is based in a dedicated EU office within the ASEC, which is also critical for ARISE to be perceived as *‘part of the ASEC’*; and 2) the ARISE team



systematically attends many meetings of ASEAN subsidiary bodies, acting as resource persons, regularly reporting to the AMSs and the ASEC within those *fora*, and being perceived as '*colleagues rather than consultants*'. These features of ARISE should be retained in any future programme of EU technical assistance.

Conclusions

The ARISE team has established excellent working relationships across the board in the ASEC and vis-à-vis ASEAN subsidiary bodies and AMSs' authorities. This is built upon exemplary levels of consultation and strong overall project cycle management. Compared to plan, the ARISE team are delivering a diverse and substantive number of project outputs with the potential to make a tangible impact at the AMS country level.

PROJECT OUTPUTS/RESULTS FOR THE REPORTING PERIOD

Shown below is a summary of the results achieved under this reporting period. The results are shown at the component level, with further detail being provided in Section 3, where each of the planned sub-projects and associated activities are reviewed in terms of status and results.

COMPONENT 1: Overall ASEAN Economic Integration Process Management Strengthened

- A Study Visit for Senior Customs officials of ASEAN to Brussels provided an effective *forum* for valuable high-level dialogue between ASEAN and Europe on the elements of a harmonised regional customs environment. The participants from ASEAN gained insights into the planning, legal framework, IT processes, business process management and operational activities of DG TAXUD and the Customs Authorities of the European Union, specifically in the context of transit.
- EU/ASEAN dialogue on agriculture was enhanced and relations developed by flexibly catering to a specific request of the ASEAN Secretariat for high-level support on '*Streamlining ASEAN bodies involved in the Food Agriculture and Forestry*'.
- The design of the ASEAN Trade Repository (ATR) interface was completed and the ATR website was transferred to the ASEAN Secretariat on 1 September 2015 (<http://atr.asean.org>). Training was provided to 4 more AMSs (*i.e.*, Indonesia, Vietnam, the Philippines and Brunei Darussalam) on the ATR/NTR architecture and structures, with a particular focus on NTM identification, classification and notification procedures.
- The concept for a SOLVIT-like online mechanism for ASEAN Consultations to Solve Trade and Investment Issues (ACT), now to be referred to as '*ASEAN Solutions for Investment, Services and Trade*' (ASSIST) was developed by ARISE and endorsed by ASEAN's Senior Economic Officers' Meeting (SEOM). The IT design of the ASSIST website was undertaken and largely completed in order to have a functioning ASSIST website ready for launch, together with the ATR, at the ASEAN Business and Investment Summit (ABIS) in Kuala Lumpur, Malaysia on 21 November 2015 at the margins of the ASEAN Summit.



- Support has been given for the drafting, editing and production of the '*Layman's Guide on the Key Achievements of the AEC*', and the ASEAN Integration Report 2015 (AIR). Both are aimed at informing the ASEAN business community and were prepared for the launch at the ABIS. These publications have been led by AIMO with contributions from ARISE and COMPASS.

COMPONENT 2: Progress in Achieving the Free Flow of Goods within ASEAN

Customs Transit and Transport

- To support the implementation of Protocol 3 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), namely '*Type and Quantity of Road Vehicles*', the final version of the cross-border goods vehicle permit (ACTS) has been produced, along with the draft operating guidelines and recommended application processes.
- Discussions have been held between ARISE and AMSs on the technical annexes to the technical appendix of Protocol 7 of the AFAFGIT, both inter-sessionally and in conjunction with the activities of the Sub-Working Group of the Customs Procedures and Trade Facilitation Working Group (CPTFWG) on ACTS (SWG-ACTS). These include:
 - How to handle prohibited and restricted goods in ACTS;
 - The different guarantee types, format and Customs acceptance processes;
 - Authorised Transit Traders, application and authorisation on the basis of criteria set out in Protocol 7 of the AFAFGIT;
 - Transit Declaration, in printed and electronic format;
 - Characteristics of approved special seals;
 - Enquiry procedures; and
 - Mutual assistance for the recovery of claims.
- In conjunction with AMSs, ARISE has monitored and quality-assured the progress of INTRASOFT towards the development of:
 - ACTS specification for ASEAN Secure Network (ACSN);
 - ACTS Trader Information Portal;
 - ACTS Trader (Declaration) Portal;
 - ACTS Guarantee Sub-System;
 - ACTS Risk Management System;
 - ACTS Management Information System;
 - National Transit Application (NTA);
 - ACTS Conformance Scenarios; and
 - ACTS Information Portal.
- As part of the outreach on ACTS, ARISE attended the most recent meeting of the ASEAN-EU Business Council in Kuala Lumpur, Malaysia, and explained plans for the ACTS pilot to the business community. Also, ARISE has participated in a workshop hosted by the Customs Department of Myanmar and the private sector.



Standards, conformity assessment, quality infrastructure, priority integration sectors

- The revised draft for the Framework Agreement on Mutual Recognition Arrangements (MRAs) was prepared and has been reviewed by Working Group 1 (WG 1). Discussions will continue at the next WG 1 meeting in November 2015.
- A compilation of terms and definitions for use in ASEAN's MRAs has been deliberated by WG 1 at the June 2015 meeting and finalised.
- The supplements to the '*Guidelines for the Development of Mutual Recognition Arrangements*' on determination of the scope of MRAs have been prepared. However, there has been no consensus. These are to be discussed in the November 2015 meeting.
- The revised version of '*ASEAN Guidelines for Laboratory Networks*' were drafted and discussed at the meeting of the Working Group 2 (WG 2) held in September 2015. The guidelines were also presented at a workshop of the ASEAN Cosmetics Testing Laboratories Committee.
- The '*ASEAN Guidelines for Harmonised Standards*' have been published.
- Work on the development of software for the database of the Standards Harmonised in ASEAN has been completed. The database is now hosted on the ATR at <http://atr.asean.org/standards/>. The lists of standards harmonised in ASEAN by the various product working groups have been compiled and entered into the database.
- ARISE led a workshop on the harmonisation and implementation of organic standards for agriculture.
- The final version of the '*ASEAN Food Safety Policy*' was developed and endorsed by the ACCSQ, AEGFS, and the Agricultural Working Groups. The policy was subsequently endorsed by the senior officials meetings of the Health Ministers, Agriculture and Forestry Ministers and the Economic Ministers. It is now awaiting final approval by at respective ministerial meetings.
- The 5 '*ASEAN Common Food Control Requirement Documents*' (ACFCR) have been published in hardcopy and are available on the ARISE website.
- Work on the mapping of AMSs' food safety regulatory profiles in all 10 AMSs has been essentially completed and country reports are in final preparation. These have set the basis for the development of the '*Regulatory Framework for Food Safety in ASEAN*'.
- To support the operationalization of the ASEAN Risk Assessment Centre (ARAC), draft brochures and information documents have been prepared. Plans for a launch event to be held in week 3 of March 2016 have been developed, and ARAC is intended to hold the first meeting of the Scientific Committee in conjunction with the launch event. The meeting will identify and initiate the first risk assessment projects for ASEAN.



- The evaluation of the impact of the Electrical and Electronic Equipment MRA was completed and will be presented at a workshop in early 2016.
- Work with the Pharmaceuticals Product Working Group (PPWG) has continued, specifically in the context of supporting the MRA for bioequivalence study reports in ASEAN. A workshop resulted in key recommendations and a road map for implementation. This was subsequently presented to the PPWG. DG SANTE joined for the event.
- Awareness raising workshops have been held in Hanoi and in Ho Chi Minh City, Viet Nam, in October for stakeholders in the Electrical and Electronic Equipment Sectors in October 2015, with high levels of private sector participation.
- As part of the support to CLMV in implementing ASEAN agreements, plans are in place for a mission to Lao PDR to review the draft Electrical and Electronic Equipment Safety Regulations later this year. Furthermore, support was given to the authorities of Cambodia and Lao PDR for purposes of establishing online notifications systems under the ASEAN Cosmetics Directive, with software and training provided free of charge by the Food and Drug Administration of the Philippines. 5 officials were trained and 2 experts from the Philippine's FDA successfully installed the on-line system software both countries.

COMPONENT 3: Strengthened Capacity of the ASEAN Secretariat to Support AEC Implementation and Integration

- As of the end of October 2015, all of the 10 ARISE-funded positions, have been recruited, with the most recent being the position of Chief Internal Auditor. The newly-created position of ACTS Project Manager has already greatly facilitated the advancement of the ACTS, particularly enhancing the *intra*-ASEAN Secretariat coordination between Customs and Transport. Good ongoing relations have been maintained between the ARISE team and the Grant Project manager.



RESOURCES

During this reporting period, ARISE has mobilised the resources shown in the table below.

	Mobilised	Budget	Balance (AWP 3)
KE Days	462	1,399	937
STE Days	300.75	1,456.5	1,155.75
Incidental Expenditure	€281,774.39	€1,048,990.16	€767,215.77

A more detailed breakdown is provided Section 1, where the resources used are reported at the Sub-Project level, while in Section 2 on the “*Overview of Resources*” the use of resources is reported at the activity level and aggregated to the total use against the AWP 3 budget.

Resource management efficiency for events continues to be assessed with data showing an average cost of 197€/day/participant for the reporting period, against a rolling average 206€/day/participant.



Section 1: PROJECT ACTIVITIES

COMPONENT 0: GENERAL COORDINATION ACROSS ALL COMPONENTS

Sub Component 0.1 Project Management

The Team Leader, together with the Key Experts and Project Officer, coordinated and implemented the activities of ARISE as defined and detailed in the subsequent parts of this report. The ARISE Team Leader participated in various technical working group meetings and promoted the project with key stakeholders in ASEAN and EU. An integral part of this has been visiting EUDs in the region.

A significant proportion of time was further devoted to Mid Term Review during this reporting period, which had a small disruptive effect on the deliverables for the period.

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MONITORING

The Technical Assistance Team has established a comprehensive internal monitoring system for the project. The monitoring system broadly comprises of three types of monitoring:

- Ongoing monitoring of the quality of workshops and study tours, through standard participant evaluation forms;
- Six monthly monitoring on the progress of the planned activities; and
- Annual monitoring of the results at the Activity level and the contribution to the realisation of the intermediate outcome at the Sub-Project level.



Workshop Performance

The assessments of Workshops and Training demonstrate a high level of satisfaction.

The following assessments by participants were given for the workshops held during the period of this Progress Report as follows:

	Workshop	Rating: 1- Very Unsatisfactory, 7- Highly Satisfactory		
		Overall Assessment	Technical Assessment	Organisation assessment
1	Third ACTS Analysis Workshop, Thailand	5.7	6.0	5.8
2	Fourth ACTS Analysis Workshop, Indonesia	5.8	5.8	5.8
3	National Workshop on ATR/NTR/NTMs and Notification Procedures under the ATIGA, Indonesia	5.4	5.5	5.5
4	Workshop on Consumer Engagements on Food Safety Network, Indonesia	5.9	6.1	6.0
5	Workshop on the Development of a Mutual Recognition Arrangement of Bioequivalence Study Reports	5.6	5.6	5.6
6	National Workshop on ATR/NTR/NTMs and Notification Procedures under the ATIGA, Vietnam	5.5	5.7	5.5
7	National Workshop on ATR/NTR/NTMs and Notification Procedures under the ATIGA, Brunei	5.8	5.9	5.8
8	Workshop on Raising Awareness of Stakeholders in Vietnam on the Implementation of ASEAN EEE MRA and AHEER (1)	5.6	5.6	5.7
9	Workshop on Raising Awareness of Stakeholders in Vietnam on the Implementation of ASEAN EEE MRA and AHEER (2)	5.6	5.4	5.9
10	High Level Study Visit to Europe of ASEAN Customs Directors General	5.6	5.6	5.6
	Average Score	5.7	5.7	5.7



Effective Workshops

One of the key methods by which ARISE builds capacity and enhances knowledge amongst its key stakeholders is by coordinating and delivering workshops and study tours. To date, there have been 10 such events during the first six months of AWP3. The overall participants' assessments of these events are presented in the table above.

As a general conclusion, a consistently high standard has been maintained across a broad range of topics, stakeholders and AMSs. The technical and organisational arrangements have both been rated highly, giving an overall assessment in the upper ranges of participant satisfaction.

A satisfactory rating would have been on the 3.5 mark and we have achieved an average overall rating of 5.7. Every event has performed well above average with some expected variation depending on topic and participants.

Going forward, the ARISE team will continue to review both technical and organisational aspects of such activities, and take any corrective actions that may have been highlighted by participants to enhance the outcomes.

Efficient Activities

ARISE is also constantly striving to undertake cost-effective activities that demonstrate our focus on efficient utilisation of resources. Over the entire Project period to date (1st November 2012 to 31st October 2015) there have been 61 events that have been supported financially by the Programme. These include workshops, study tours and promotional events. The average cost per participant per day was €206.

It is worth noting that costs per head had been consistently decreasing, and currently have reached a stable unit cost by applying a conservative activity management style. For instance, the Project will run, where possible, national events in AMS's capitals, thereby lowering travel and *per diem* costs, as well as hosting a number of events in Jakarta where the Project can leverage on its in-house project administration efficiencies. It is noted that events that require travel by participants to European destinations significantly increase the unit costs.

As a result, when reviewed by the progress report periods, the following trend emerges:

Period	No. of Events	Cost per person per day
01/01/2013 to 31/10/2013	7	€ 294
01/11/2013 to 30/04/2014	8	€ 248
01/05/2014 to 31/10/2014	15	€ 179
01/11/2014 to 30/04/2015	14	€ 180
01/05/2015 to 31/10/2015	17	€ 197
Cumulative	61	€206



Activity Delivery for AWP 3

Activity momentum is on-track, Thirty-Eight Activities for 23 sub-projects were designed for implementation during the Third Annual Work Programme of ARISE, across all three Components. By the end of the first six-month period (May to October 2015), 4 activities were completed, with 24 Activities under implementation and the remaining 8 still at the planning stage.

Sub Project Outcome's

The overarching approach adopted by the Project is to seek results. It is also the first of the EU-supported ASEAN Economic Integration projects to have M&E as an integral part of the project architecture, with a designated Key Expert for this area. This has influenced both the approach and mode of engagement of the Project team, with a focus on achieving significant change and positive outcomes through their delivery of outputs.

A further element of the project management and monitoring process has been the engagement with other dialogue partners supporting ASEAN. There are regular formal and informal awareness raising and coordination meetings with the key programmes, particularly those supported by GIZ, Australian Development Cooperation, World Bank, ADB, and USAID.

The Mid-Term Review of ARISE was undertaken during this reporting period. The draft report has been submitted to the ASEAN Secretariat and the EUD for comment and consideration. The process of the MTR enabled the ARISE project team to present detailed briefings to the MTR consultants on the progress achieved to date. It is anticipated that any key findings will be taken on board as the project moves into its third and final annual work programme. The initial feedback from the MTR has been a high level of satisfaction with the performance of ARISE from all relevant stakeholders and beneficiaries. The Executive Summary of the MTR forms **Annex 1** of this report.

Sub Component 0.2 Public Relations and Visibility

The ARISE technical assistance team has ensured that the Project, its stakeholders and activities received a high degree of visibility.

Visibility levels for ARISE have increased significantly over the period. Over 3,000 ARISE leaflets have been distributed by the Project, and visits to the Website are on the increase after a slow start.

In addition over 1000 leaflets that were produced specifically for the ASEAN Customs Transit System have been distributed to date.

ARISE supported the development and publication of the ASEAN "Guidelines for the Development of Mutual Recognition Agreements" This falls under the auspices WG 1 of the ASEAN Consultative Committee on Standards and Quality (ACCSQ). As the guidelines are cross sectoral, ACCSQ has mandated ARISE to disseminate the publication to the different Working Groups. To date, over 350 copies have been distributed.



Sub Component 0.3 Resource Management

During the reporting period, no new activities have been undertaken.

Component 0: Actual Resource Use

Sub Component	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
01 Project Management	100	0	21	7	1	0	0	€ 9,504.04
02 Visibility	0	0	0	0	0	0	0	€ 15,126.55
03 Resource Management	0	0	0	0	0	0	0	0
Total	100	0	21	7	1	0	0	€ 24,630.59



COMPONENT 1 OVERALL ASEAN ECONOMIC INTEGRATION PROCESS MANAGEMENT STRENGTHENED

Sub Component 1.1 High-Level Institutional Building

Actual Resource Use

Sub Component 1.1	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	0	8.5	78	111	0	€ 123,096.29

Sub-Project 1.1.1 High-Level EU-ASEAN Dialogue

Intermediate Outcome:

Enhanced High-level EU-ASEAN dialogue in relation to regional economic integration, with a focus on regional connectivity.

Activity 1.1.1.4 Facilitating high-level discussions and meetings with EU counterparts

Activity 1.1.1.4	Facilitating high-level discussions and meetings with the EU counterparts							
Specific Purpose	Provide “on-demand” support of high level EU-ASEAN dialogue through studies, “study tours”, visits, workshops and other dialogue initiatives between the EU and ASEAN in order to expose high-level ASEAN policymakers to EU experiences, support the development of ASEAN policies and enhance the capacity of the members of high-level ASEAN committees to discharge their functions in support of the ASEAN integration process, whilst providing opportunities for increased dialogue, information exchanges, networking and co-operation between ASEAN and the EU.							
Project status	This activity, by its demand driven nature, is ongoing. During the period, ARISE supported two workshops which were successfully held in Vientiane Lao PDR in support of the forthcoming Lao PDR Presidency of ASEAN in 2016. The objective of the Workshops was to define a series key deliverables under the AEC pillar. Both Events were attended by the EUD Lao PDR.							
Summary of Results	From the two workshops, priority areas were agreed in principle, which included Tourism, Trade Facilitation Food safety and MSME’s. These priorities will be further refined with support from the ASEAN Secretariat.							
Resources Mobilised	Expert days: <table border="1"> <thead> <tr> <th>Experts</th> <th>Work days</th> </tr> </thead> <tbody> <tr> <td>Work days</td> <td>Nil</td> </tr> <tr> <td>Incidentals</td> <td>€22,781.15</td> </tr> </tbody> </table>		Experts	Work days	Work days	Nil	Incidentals	€22,781.15
Experts	Work days							
Work days	Nil							
Incidentals	€22,781.15							



Activity 1.1.1.5 Capacity building and knowledge sharing for senior ASEAN Customs officials

Activity 1.1.1.5	Capacity building and knowledge sharing for senior ASEAN Customs officials
Specific Purpose	To ensure senior ASEAN Customs officials have an adequate understanding of integrated transit systems in a harmonised Customs environment, the Study Tour will provide the ASEAN Customs DGs and senior technicians with insights into the working of the European Customs Union as a harmonised regional Customs environment, with special reference to the New Computerised Transit System (NCTS) and the ASEAN Customs Transit System (ACTS).
Project status	This activity is complete . In October 2015 ARISE organised a high-level study visit to Europe for ASEAN Customs Directors General and their senior personnel. The event took place over 3 days from 20 to 22 October 2015; the programme is at annex 2 to this report.
Summary of Results	<ul style="list-style-type: none"> ▪ This Study Visit provided an effective forum for valuable high-level dialogue between the senior Customs officials of ASEAN and Europe on the components of a harmonised regional Customs environment; ▪ The senior Customs participants from ASEAN gained insights into the planning, legal framework, IT processes, business process management and operational activities of DG TAXUD and the Customs Authorities of the European Union; ▪ In particular, DG TAXUD and Belgian Customs provided detailed information on the necessary planning and implementation of automated Customs transit management systems, especially the formal consultation mechanisms with the trading sector; these insights will be invaluable when the ASEAN Customs Transit System (ACTS) is implemented in the ASEAN region; ▪ ASEAN participants greatly benefited from the detailed explanations provided by DG TAXUD into the European Commission’s integrated tariff system (TARIC), binding tariff information (BTI) and regional excise control procedures within the EU; ▪ The Director General of DG TAXUD (the European Commission’s Directorate of Taxation and Customs Union in Brussels) participated in the event along with his personnel, and exchanged views with the ASEAN Customs representatives; ▪ The first two days consisted of presentations by DG TAXUD on the following topics: <ol style="list-style-type: none"> a. Customs Union, compliance and working with the private sector at regional level; the relevant presentation is at annex 3 to this report; b. Customs Transit in the European Community, as at annex 4 to this report, including Common Transit, Community Transit and TIR; c. EU Electronic Customs Multi-Annual Strategic Plan 2014, programme to support implementation of e-Customs, including Union Customs Code implementation as at annex 5; d. Customs Decisions System as at annex 6; e. EU Customs Business Process Management at annex 7; f. Customs Data Model, content, World Customs Organisation (WCO) Data Model Compatibility, cooperation with EU Member States at annex 8; g. Union Customs Code (UCC) and it Implementing and Delegated Acts at annex 9; h. Uniformity of application of Customs Tariff within EU, Binding Tariff Information (BTI), TARIC (Tariff Intégré Communautaire), Combined Nomenclature) at annex 10; i. Security in the International Supply Chain at annex 11;



<p>Activity 1.1.1.5</p>	<p>Capacity building and knowledge sharing for senior ASEAN Customs officials</p> <p>j. Excise Duties, energy, environment and transport taxes at annex 12; k. Presentations were also given on electronic detection systems for Customs and controlling goods subject to Intellectual Property Rights. These are at annexes 13 & 14.</p> <ul style="list-style-type: none"> ▪ The third day was hosted by officers of the Customs & Excise Department of Belgium. These officers arranged a visit to Antwerp Port for the ASEAN participants, and provided a number of presentations on the EU's New Computerised Transit System (NCTS) and related topics such as relations with the private sector; these presentations are at annexes 15, 16 & 17; ▪ These presentations were followed by a visit to the Customs operational offices in the Port of Antwerp, where ASEAN Director Generals and their senior Customs officials examined the practical application of risk management programmes, physical verification of goods and x-ray scanning processes, among other aspects of Customs control. Annex 18 shows the presentation provided at the port of Antwerp. 						
<p>Resources mobilised</p>	<p>Expert days:</p> <table border="1"> <thead> <tr> <th data-bbox="451 800 899 848">Experts</th> <th data-bbox="899 800 1349 848">Work days</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 848 899 898">Work days</td> <td data-bbox="899 848 1349 898">Nil</td> </tr> <tr> <td data-bbox="451 898 899 949">Incidentals</td> <td data-bbox="899 898 1349 949">€27,079.19</td> </tr> </tbody> </table>	Experts	Work days	Work days	Nil	Incidentals	€27,079.19
Experts	Work days						
Work days	Nil						
Incidentals	€27,079.19						



Activity 1.1.1.6 ASEAN Agriculture and Forestry Sector Reorganising Support

Activity 1.1.1.6	ASEAN Agriculture and Forestry Sector Reorganising Support					
Specific Purpose	<p>High-level institutional support in relation to the reorganisation of the ASEAN Agriculture and Forestry Sector and to review the various relevant ASEAN organs, processes and institutions, in order to ensure ASEAN’s centrality within the evolving regional architecture. This is to be achieved by reviewing the relevant ASEAN Economic Community (AEC) subsidiary bodies and the number of their annual meetings in order to propose options for consolidating the various subsidiary bodies across the AEC pillar towards improving the efficiency of their meetings. The particular institutional focus of this activity is the ASEAN Agriculture and Forestry Sector (AMAF). The existing structure and mechanisms under AMAF need to be researched, reviewed, analysed and streamlined in order to support the next stages of ASEAN’s integration efforts beyond 2015.</p>					
Project status	<p>The main support provided has been devoted to researching and drafting a comprehensive mapping and stock-taking paper on the current AMAF organs and subsidiary bodies (a total of 44 Working Groups, Task Forces and other bodies) and researching and proposing a draft AMAF structure that is efficient and effective to support the implementation of the Vision, Objectives and Goals of the ASEAN Cooperation in Food, Agriculture and Forestry sectors towards 2025. In order to do so, a comparative exercise of relevant international organizations and organizations structures has been conducted. Several meetings with ASEC’s AINRD and with relevant donors (<i>i.e.</i>, GIZ) were held. A presentation was made to the 4th Meeting of the ASEAN <i>Ad Hoc</i> Task Force on Developing a New Vision on FAF Towards 2025, in Jakarta, Indonesia on 1 July 2015. At that event, the draft Proposed New Structure for the ASEAN Cooperation in Food, Agriculture and Forestry was presented and discussed with AMSs. The activity has been concluded and ASEAN is considering the next steps to reform the AMAF framework.</p>					
Summary of Results	<ul style="list-style-type: none"> • Mapping of the current terms of reference (ToRs) functions and practices of the various Working Groups (WGs), Task Forces (TFs) and other institutional bodies (IBs) under AMAF; • Support to other sectorial initiatives related to the process of AMAF streamlining, such as GIZ’s technical assistance in the forestry and agricultural sectors; • Final reports were submitted on 14 July 2015 on, respectively, the ‘<i>Mapping of ASEAN Disciplines and Food, Forestry and Agriculture</i>’; the ‘<i>Organisational Structure of the Documents’ Archive</i>’; the ‘<i>Proposed New ASEAN Structure for Cooperation in the FAF Sector</i>’; the ‘<i>Proposed New ASEAN Structure for Cooperation in the FAF Sector</i>’; and the ‘<i>Proposed New Structure for Cooperation in the FAF Sector</i>’. All these reports factored-in the discussions held during the meeting of the <i>Ad Hoc</i> Task Force on 1-2 July 2015 and were timely provided for consideration by SOM-AMAF on 6 August 2015; • EU/ASEAN policy dialogue on agriculture enhanced and formalised; and • Relations enhanced by flexibly catering to a specific request of the ASEAN Secretariat for high-level institutional support. 					
Resources Mobilised	<p>Expert days:</p> <table border="1" data-bbox="448 1822 1349 1892"> <thead> <tr> <th data-bbox="448 1822 906 1864">Experts</th> <th data-bbox="906 1822 1349 1864">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="448 1864 906 1892">S-STE</td> <td data-bbox="906 1864 1349 1892">15</td> </tr> </tbody> </table>		Experts	Work Days	S-STE	15
Experts	Work Days					
S-STE	15					



Activity 1.1.1.6	ASEAN Agriculture and Forestry Sector Reorganising Support		
	Incidentals	€ 2,757.34	



Sub-Project 1.1.2 Support to the Implementation of ASEAN Trade in Goods Agreement (ATIGA)

Intermediate Outcome:

ATIGA Implementation will be enhanced through the establishment of an ASEAN Trade Repository (ATR) and harmonization of National Trade Repositories (NTR).

Activity 1.1.2.4 Assisting in the development and operationalization of the ATR/NTRs, with particular focus on the notification and transparency of NTMs

Activity 1.1.2.4	Assisting in the development and operationalization of the ATR/NTRs, with particular focus on the notification and transparency of NTMs
Specific Purpose	Support the implementation of the ATIGA, with particular emphasis on enhanced transparency through the ATR/NTRs system and on the identification, classification and notification of Non-Tariff Measures (NTMs). The strengthening of the institutional arrangements and management of the regional economic integration process will occur through the creation and operationalization of the ATR and the NTRs. The identification, classification and notification of NTMs will assist businesses, traders and governments to deal with such measures, prevent or resolve trade barriers, and foster regional integration. The focus of this activity has been twofold: 1) The continuing training of AMSs in relation to the operationalization of ATR/NTRs system and the process of identification, classification and notification of NTMs through dedicated workshops; and 2) The re-launch of the (SOLVIT-like) online mechanism for ASEAN Consultations to Solve Trade and Investment Issues (ACT), now to be referred to as 'ASEAN Solutions for Investment, Services and Trade' (ASSIST).
Project status	Continuing support was provided under the auspices of the ASEAN Coordinating Committee on the Implementation of the ATIGA (CCA). Another batch of National Workshops on ATR/NTR/NTMs and Transparency/Notification Procedures under the ATIGA have been successfully held by ARISE in Indonesia (25-26 May), Vietnam (6-7 July), the Philippines (9 July) and Brunei Darussalam (12-15 October). The workshops aimed at providing technical expertise and support to assist AMSs in their process of NTR development and to provide a better understanding of the substantive structure and outlay that will inform the ATR's IT interface and the requirements for both hardware and software development, as well as the transparency and notification procedures to be applied in relation to NTMs under the ATIGA. Particular focus was placed on the process of NTM identification and classification in line with the 2012 UNCTAD NTM Classification. The AMSs, which received support, showed strong commitment to comply with the transparency obligations under the ATIGA and very high numbers of participants (<i>i.e.</i> , between 50 and 80) attended the workshops with representatives from all relevant ministries and agencies involved in the national Inter-Agency Coordination Committees on ATIGA transparency. Coordination was ensured with relevant donors (<i>i.e.</i> , World Bank, USAid and ERIA/UNCTAD). Requests have been made for more intensive and dedicated workshops to be provided on the key issue of NTM identification and classification in light of the 2012 UNCTAD NTM Classification and ATIGA transparency obligations. These intensive workshops will be offered starting in November 2015 and well into 2016 as the NTRs start being connected to the ATR. The first such workshop will take place in the Philippines on 23-26 November 2015.

Activity 1.1.2.4	Assisting in the development and operationalization of the ATR/NTRs, with particular focus on the notification and transparency of NTMs										
	<p>IT work to write the software for the ATR interface and develop the ATR website was completed and the ATR website has been transferred to the ASEAN Secretariat on 1 September 2015. All CCA (Coordination Committee on the Implementation of the ATIGA) and SEOM (Senior Economic Officers Meeting) meetings between May and October were attended by ARISE, with presentations given on the progress in the technical assistance being provided. Parallel work was undertaken, on the urgent request of the SEOM, in order to relaunch the SOLVIT-like online facility for the ASEAN Consultations on Trade and Investment (ACT), now to be known as ASSIST (ASEAN Solutions for Investment, Services and Trade), on the basis of the concept proposed by ARISE, which was formally endorsed by AMSs on 15 July 2015. The <i>'Technical Overview of the ASSIST Procedures and Operational Guidelines'</i> was developed and used to design the ASSIST website. Both the ATR and ASSIST websites and mechanisms will be formally launched at the ASEAN Business and Investment Summit (ABIS) in Kuala Lumpur, Malaysia on 21 November 2015 at the margins of the ASEAN Summit. Both facilities will become fully operational in 2016 and ARISE will continue supporting the operationalization process.</p>										
Summary of results	<ul style="list-style-type: none"> • Presentations were given at all CCA and SEOM meetings held between May and October 2015. AMSs formally endorsed the ATR and ASSIST facilities proposed by ARISE, together with the related websites and online mechanisms; • <i>Ad hoc</i> training was provided to 4 more AMSs (i.e., Indonesia, Vietnam, the Philippines and Brunei Darussalam) on the ATR/NTR architecture and structures, NTM identification, classification and notification procedures, including for purposes of compiling the NTM database and weblinking their NTRs to the ATR; • The IT design of the ATR interface was completed the ATR website was transferred to the ASEAN Secretariat on 1 September 2015; • The Concept for SOLVIT-like online mechanism for ASEAN Consultations to Solve Trade and Investment Issues (ACT), now to be referred to as <i>'ASEAN Solutions for Investment, Services and Trade'</i> was developed by ARISE and endorsed by SEOM. On that basis, the <i>'Technical Overview of the ASSIST Procedures and Operational Guidelines'</i> was developed in order to operationalize ASSIST; • The IT design of the ASSIST website was undertaken and largely completed in order to have a functioning ASSIST website ready for launch the ASEAN Business and Investment Summit (ABIS) in Kuala Lumpur, Malaysia on 21 November 2015; and • The script and a 2 minute animated video-clip to launch the ATR and ASSIST at the ABIS were developed by ARISE on the request of ASEAN. Informative brochures on the ATR/NTRs framework and on the ASSIST facility were developed by ARISE for dissemination in all relevant venues. 										
Resources Mobilised	<p>Expert days:</p> <table border="1" data-bbox="448 1650 1349 1814"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE4</td> <td>2.5</td> </tr> <tr> <td>KE5</td> <td>78</td> </tr> <tr> <td>S-STE</td> <td>46</td> </tr> <tr> <td>Incidentals</td> <td>€48,023.36</td> </tr> </tbody> </table>	Experts	Work Days	KE4	2.5	KE5	78	S-STE	46	Incidentals	€48,023.36
Experts	Work Days										
KE4	2.5										
KE5	78										
S-STE	46										
Incidentals	€48,023.36										



Sub-Project 1.1.3 AEC Public Outreach

Intermediate Outcome:

Awareness raised amongst the ASEAN private and civil societies of the achievements of the AEC through specific demand-driven activities.

Activity 1.1.3.2 AEC symposia/roadshows on regional integration

Activity 1.1.3.2	AEC symposia/roadshows on regional integration opportunities								
Specific Purpose	Increase awareness of ASEAN and the AEC within the public sector, business community and civil society through outreach initiatives that will promote regional integration, policy understanding and wider support for ASEAN development.								
Project Status	Facilitating and/or co-organizing with ASEC a series of AEC policy briefs and reports in relation to key issues of regional economic integration to the benefit of AMSS and ASEC officials, with the participation of private sector and civil society stakeholders								
Summary of Results	<ul style="list-style-type: none"> ▪ <i>“Layman’s Guide”</i> on the Key Achievements of the AEC. ARISE has supported the drafting, editing and production of a document aimed at the ASEAN business community. This will be launched at the ASEAN Business and Investment Summit to be held in Kuala Lumpur in November 2015. The document is concise, well-structured and written in a language that can be easily grasped by both public decision-makers and private sector leaders. ▪ Supported the drafting, editing and production of the ASEAN Integration Report 2015 (AIR) to the ASEAN community. This report is a key initiative of AIMO that has been supported by ARISE and COMPASS. The AIR is a detailed technical report on all the key pillars of the AEC and is highly relevant as the AEC reaches its target date of end-2015 and ASEAN prepares for the post-2015 period. The report will also be launched at the ASEAN Business and Investment Summit to be held in Kuala Lumpur in November 2015 								
Resources Mobilised	<p>Expert days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 4</td> <td>6</td> </tr> <tr> <td>S-STE</td> <td>20</td> </tr> <tr> <td>Incidentals</td> <td>€ 5,724.46</td> </tr> </tbody> </table>	Experts	Work Days	KE 4	6	S-STE	20	Incidentals	€ 5,724.46
Experts	Work Days								
KE 4	6								
S-STE	20								
Incidentals	€ 5,724.46								



Sub Component 1.2 Monitoring system for the specific integration initiatives under the programme

Actual Resource Use

Sub Component 1.2	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	0	28.5	0	2	0	€ 35.28

Sub-Project 1.2.1 Enhanced AEC Scorecard

Intermediate Outcome:

A developed enhanced AEC Scorecard contributing to the strengthening of an ASEAN-wide AEC Monitoring System.

Activity 1.2.1.3 AEC Monitoring System Development

Activity 1.2.1.3	AEC Monitoring System Development	
Specific Purpose	To assist in and facilitate the strengthening of the ASEAN economic integration process by means of developing an Enhanced AEC Scorecard mechanism that is cost-effective, user-friendly, provides visibility and is timely.	
Project status	<p>The Activity Output has been re-defined as supporting the development of a regional integration monitoring system. Work on developing ASEAN-specific outcome indicators is ongoing by AIMO with technical support from ARISE. Collaboration with the new COMPASS project is ongoing.</p> <p>A separate process on enhancing the current ASEAN Scorecard, which is a compliance monitoring mechanism, in the context of post-2015 AEC Vision has been initiated by AIMO, and ARISE is providing technical advice as this moves forward.</p>	
Summary of results	<ul style="list-style-type: none"> • Ongoing engagement with AIMO on post-2015 indicators and monitoring systems • Specific support provided to Agriculture, Fishery and Forestry Sector to developing post-2015 Strategic Plan KPIs 	
Resources Mobilised	Experts	Work Days
	KE 4	3.5
	Incidentals	Nil



Sub-Project 1.2.3 ARISE M&E System

Intermediate Outcome:

A functioning and effective internal monitoring system for the ARISE Project leading to improved project implementation.

Activity 1.2.3.2 Methodology, Design and Scope of ARISE M&E

Activity 1.2.3.2	Methodology, Design and Scope of ARISE M&E							
Specific Purpose	<p>Monitoring of the Project activities’ effectiveness, efficiency, and quality assurance as well as the assumptions as set out in the OWP and Project Logical Framework</p> <p>To establish baseline data, create a management information system and undertake regular data collection and monitoring reporting to assess the key performance indicators towards the achievement of the objectives of ARISE.</p>							
Project status	<p>Activity and output level assessment and reporting is undertaken on a regular basis. Preparation of a range of documents and project overviews for the Mid Term Review of ARISE completed. Detailed briefings provided to the MTR team on the project’s M&E activities.</p> <p>Measurement of outcomes is an ongoing and increasing focus of attention, as ARISE moves into AWP 3.</p>							
Summary of results	<ul style="list-style-type: none"> • All ARISE managed workshops are assessed through participant feedback survey. • Intermediate outcomes at the sub-project level have been reviewed and refined. • Detailed information on ARISE M&E system and processes provided to MTR team • Ongoing liaison with other dialogues partners on their monitoring of integration in particular with AADCP, JAIF, and GIZ. Ad hoc support to ASEC SPCD in their development of a project management system for ASEC. 							
Resources Mobilised	<p>Expert Days:</p> <table border="1" data-bbox="451 1312 1349 1409"> <thead> <tr> <th data-bbox="451 1312 906 1346">Experts</th> <th data-bbox="906 1312 1349 1346">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 1346 906 1379">KE 4</td> <td data-bbox="906 1346 1349 1379">3</td> </tr> <tr> <td data-bbox="451 1379 906 1409">Incidentals</td> <td data-bbox="906 1379 1349 1409">Nil</td> </tr> </tbody> </table>		Experts	Work Days	KE 4	3	Incidentals	Nil
Experts	Work Days							
KE 4	3							
Incidentals	Nil							

COMPONENT 2 PROGRESS IN ACHIEVING FREE FLOW OF GOODS WITHIN ASEAN

Sub Component 2.1 Enhanced Trade Facilitation Measures – Transport

Actual Resource Use

Sub Component	2.1	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total		0	35	0	0	0	15	0	€ 3,663.35

Sub-Project 2.1.1 Implementation of ASEAN Transport Facilitation Agreements

Intermediate Outcome:

Facilitated movement of goods vehicles across ASEAN borders.

Activity 2.1.1.6 Supporting the implementation of protocols 3 & 4 of AFAFGIT - types and quantity of road vehicles / technical requirements of vehicles

Activity 2.1.1.6	Supporting the implementation of protocols 3 & 4 of AFAFGIT - types and quantity of road vehicles / technical requirements of vehicles
Specific purpose	To support the implementation of protocols 3 & 4 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT).
Project status	This activity is ongoing . Under the terms of protocol 3, 500 trucks that conform to the technical requirements set out in protocol 4, and registered in each ASEAN Member State will be permitted to travel from start to finish while carrying out transit operations in ASEAN, without the need to transfer the cargo from one truck to another at borders, as at present. There is a need to develop a permit system to control the number of trucks that cross ASEAN borders, to ensure the 500 figure is not exceeded.
Summary of results	<ol style="list-style-type: none"> 1. A final version of the ASEAN goods vehicle cross-border permit sticker has been produced, following comments from ASEAN Member States (AMS). The permit sticker is aimed to identify vehicles which have been authorised with the cross-border goods vehicle permit. This is shown at annex 19 to this report, along with draft operating guidelines and recommended application processes for the cross-border goods vehicle permit shown at annexes 20 & 21 respectively. Annex 22 to this report shows an explanatory presentation on the operating guidelines for the goods vehicle cross-border permit, as provided by ARISE to members of the Transport Facilitation Working Group (TFWG) held in Jogjakarta, Indonesia, from 26-27 August 2015. 2. Annex 23 to this report shows a presentation provided to the same TFWG meeting, explaining ARISE priorities for the ACTS Pilot Phase, including the need for AMS to issue a trail number of cross-border permits.



Activity 2.1.1.6	Supporting the implementation of protocols 3 & 4 of AFAFGIT - types and quantity of road vehicles / technical requirements of vehicles	
	3. An expert has been identified to finalise the implementation guidelines for protocols 3 & 4; the terms of reference for this expert are shown at annex 24 to this report.	
Resources mobilised	Expert days:	
	Experts	Work Days
	KE 2	18
	Incidentals	955.62



Activity 2.1.1.7 Supporting the implementation of the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT)

Activity 2.1.1.7	Supporting the implementation of the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT)							
Specific Purpose	To operationalise the ASEAN Framework Agreement on Multimodal Transport; to enhance capacity and skills development to further progress regional transport facilitation cooperation; conceptual planning for an integrated inter-modal transport network in ASEAN.							
Project status	This activity is ongoing .							
Summary of results	An expert has been identified to analyse the current barriers to multi-modal transport within ASEAN, including legal constraints, and to make recommendations for support for implementation of the AFAMT. The terms of reference for this work are shown at annex 25 to this report.							
Resources Mobilised	<p>Expert days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 2</td> <td>4</td> </tr> <tr> <td>Incidentals</td> <td>Nil</td> </tr> </tbody> </table>		Experts	Work Days	KE 2	4	Incidentals	Nil
Experts	Work Days							
KE 2	4							
Incidentals	Nil							



Activity 2.1.1.8 Supporting the implementation of the ASEAN Framework Agreement on Inter-State Transport (AFAFIST)

Activity 2.1.1.8	Supporting the implementation of the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)						
Specific Purpose	To operationalise the ASEAN Framework Agreement on the Facilitation of Inter-State Transport; to enhance capacity and skills development to further progress regional transport facilitation cooperation.						
Project status	This activity is on-going .						
Summary of results	<p>Terms of reference have been produce for an expert to:</p> <ul style="list-style-type: none"> ▪ To assess the current status of implementation of the AFAFIST; ▪ To analyse the current legal, procedural and documentary constraints to the free flow of inter-state traffic in the ASEAN region, and beyond; and ▪ To make recommendations to address these constraints. <p>These terms of reference are at annex 26 to this report.</p>						
Resources mobilised	<p>Expert days:</p> <table border="1" data-bbox="456 806 1349 909"> <thead> <tr> <th data-bbox="456 806 899 842">Experts</th> <th data-bbox="899 806 1349 842">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="456 842 899 877">KE 2</td> <td data-bbox="899 842 1349 877">2</td> </tr> <tr> <td data-bbox="456 877 899 909">Incidentals</td> <td data-bbox="899 877 1349 909">Nil</td> </tr> </tbody> </table>	Experts	Work Days	KE 2	2	Incidentals	Nil
Experts	Work Days						
KE 2	2						
Incidentals	Nil						



Sub-Project 2.1.2 Supporting the Implementation of ASEAN Passenger Transport Agreement

Intermediate Outcome:

Facilitated movement of passenger transport vehicles across ASEAN borders.

Activity 2.1.2.2 Supporting the finalisation of ASEAN passenger transport agreements – the ASEAN Framework Agreement on Cross-Border Transport of Passengers (AFA-CBTP)

Activity 2.1.2.2	Supporting the finalisation of the ASEAN Framework Agreement on Cross Border Transport of Passengers (AFA-CBTP)
Specific Purpose	<p>To enhance the tourism industries of ASEAN countries by developing guidelines and supporting the implementation of the AFA-CBTP in relation to:</p> <ul style="list-style-type: none"> ▪ Standard application of technical rules for approval road vehicles to be used for the transit transport of passengers; ▪ The development and application of standard vehicle inspection and certification procedures; ▪ The designation of approved transport routes; and ▪ Analysis of any remaining barriers to cross-border transport of passengers in ASEAN, and recommendations for their removal.
Project status	<p>This activity is ongoing. The text of the AFA-CBTP is nearing finalisation, with previous support from experts engaged by ARISE. ASEAN intends to sign the agreement in 2016 at the ASEAN Transport Ministers (ATM) Meeting. Once the agreement is signed, ARISE will provide additional support as below.</p>
Summary of results	<p>Terms of reference have been produced for additional support from ARISE once the AFA-CBTP agreement has been signed by ASEAN Member States. These include the development of implementing guidelines for the agreement. The document is at annex 27 to this report.</p>
Resources mobilised	<p>Expert days:</p> <p>No resources mobilised</p>



Sub-Project 2.1.3 Implementation of Protocol 5 of the AFAFGIT & AFAFIST

Intermediate Outcome:

Facilitated cross-border movement of goods vehicles requiring third party vehicle insurance.

Activity 2.1.3.4 Support for the implementation of Protocol 5 of the AFAFGIT – ASEAN scheme of compulsory motor vehicle insurance

Activity 2.1.3.4	Support for the implementation of Protocol 5 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit – ASEAN scheme of compulsory motor vehicle insurance	
Specific Purpose	To assist the entry into force, ratification and implementation by ASEAN Member States of Protocol 5 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT).	
Project status	This activity is ongoing . ARISE has proposed a way forward, for transporters carrying out transit operations in ASEAN to be provided with a method of obtaining 3 rd party vehicle insurance prior to commencement of the journey. The objective is to facilitate cross-border movements.	
Summary of Results	ARISE engaged an expert to propose how transporters should be able to obtain 3 rd party vehicle insurance in the country of origin, to cover all the countries of transit and destination. The report of the expert is shown at annex 28 to this report.	
Resources mobilised	Expert days:	
	Experts	Work Days
	KE 2	3
	Incidentals	€ 741.27

Sub Component 2.2 Implementation of the Pilot ACTS and the Development of a Harmonised ASEAN Customs Environment

Actual Resource Use

Sub Component 2.2	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	77	0	0	0	0	0	€ 51,623.57

Sub-Project 2.2.1 ASEAN Customs Transit System (ACTS) Pilot

Intermediate Outcome:

ASEAN Customs Transit System (ACTS) piloted

Activity 2.2.1.8 Finalise ACTS technical annexes to Protocol 7 of AFAFGIT – ASEAN Customs Transit System (ACTS)

Activity 2.2.1.8	Finalise ACTS technical annexes to Protocol 7 of AFAFGIT – ASEAN Customs Transit System (ACTS)
Specific Purpose	To finalise the Technical Annexes to Protocol 7 of the AFAFGIT; preparatory activities for the ACTS Pilot are also included here.
Project status	<p>This activity is on-going. Discussions with ASEAN Member States are in progress, with a view to obtaining their agreement to the updated technical annexes to the technical appendix of protocol 7. The annexes will be included in the procedural manuals for Customs and the trade. The technical annexes are:</p> <ul style="list-style-type: none"> ○ Annex 1 – single journey guarantees ○ Annex 2 – multiple journey guarantees ○ Annex 3 – Application to act as Authorised Transit Trader ○ Annex 4 – Transit declaration, electronic format ○ Annex 5 – Transit Accompanying Document (TAD) format ○ Annex 6 – Transit Accompanying Document – format for list of items ○ Annex 7 – Characteristics of seals ○ Annex 8 – Enquiry procedure ○ Annex 9 – Mutual assistance for the recovery of claims
Summary of results	<p>Discussions have been held between ARISE and ASEAN Member States on the technical annexes to the technical appendix of Protocol 7, both inter-sessionally and in conjunction with the Sub Working Group of the Customs Procedures and Trade Facilitation Working Group (CPTFWG) on ACTS (SWG-ACTS). These include:</p> <ul style="list-style-type: none"> ▪ How to handle prohibited and restricted goods in ACTS; ▪ The different guarantee types, format and Customs acceptance processes; ▪ Authorised Transit Traders, application and authorisation on the basis of criteria set out in protocol 7 of AFAFGIT; ▪ Transit Declaration, printed and electronic format;



Activity 2.2.1.8	Finalise ACTS technical annexes to Protocol 7 of AFAFGIT – ASEAN Customs Transit System (ACTS)							
	<ul style="list-style-type: none"> ▪ Characteristics of approved special seals; ▪ Enquiry procedures; and ▪ Mutual assistance for the recovery of claims. <p>Annex 29 to this report shows the guidance provided to members of the SWG-ACTS by ARISE on handling of the TAD. Annex 30 shows the guidance provided on the same occasion on recovery procedures. Annex 31 provides proposals on the ACTS Pilot Strategy as presented to AMS, and at annex 32 is the Pilot Strategy which has been distributed to AMS for comments. Annex 33 provides options to AMS, as developed by ARISE for the development of a Central Management Team (CMT) to be based in the ASEAN Secretariat. Annex 34 provides an outline of proposed ACTS activities, as discussed with AMS, until October 2016. Annex 35 shows the terms of reference for the development of a Memorandum of Understanding (MoU) to cater for the necessary legal framework for the ACTS Pilot, in the event that not all necessary protocols of AFAFGIT have been signed, ratified and implemented by ASEAN Member States in time for the Pilot to proceed.</p>							
Resources mobilised	<p>Expert days:</p> <table border="1" data-bbox="448 846 1349 947"> <thead> <tr> <th data-bbox="448 846 902 888">Experts</th> <th data-bbox="902 846 1349 888">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="448 888 902 926">KE 2</td> <td data-bbox="902 888 1349 926">40</td> </tr> <tr> <td data-bbox="448 926 902 947">Incidentals</td> <td data-bbox="902 926 1349 947">€ 11,268.09</td> </tr> </tbody> </table>		Experts	Work Days	KE 2	40	Incidentals	€ 11,268.09
Experts	Work Days							
KE 2	40							
Incidentals	€ 11,268.09							



Activity 2.2.1.9 Monitor ASEAN Customs Transit System (ACTS) pilot system development

Activity 2.2.1.9	Monitor ACTS pilot system development							
Specific Purpose	Monitor the development of the ACTS pilot system software							
Project status	<p>This activity is on-going. The ACTS Implementation Team has delivered the components as per their contractual obligations, to standard and to time-scales, following the delivery of other components in the previous reporting period ending 30 April 2015.</p> <p>Additional deliverables are awaited from the ACTS Implementation Team, and will be discussed and agreed inter-sessionally with ARISE and AMS.</p>							
Summary of results	<p>In conjunction with AMS, ARISE has monitored and quality assured the development of:</p> <ul style="list-style-type: none"> ▪ ACTS specification for ASEAN Secure Network (ACSN); ▪ ACTS Trader Information Portal; ▪ ACTS Trader (Declaration) Portal; ▪ ACTS Guarantee Sub-system; ▪ ACTS Risk Management System; ▪ ACTS Management Information System; ▪ The National Transit Application (NTA); ▪ ACTS Conformance Scenarios; and ▪ ACTS Information Portal. <p>The above deliverables have been discussed and agreed in a series of four 5-day analysis workshops arranged by ARISE and presented by the ACTS Implementation Team with AMS, two of which were held in the previous reporting period, and two in this period, specifically from 25-29 May 2015 and 22-26 June 2015. These outputs have been delivered bilaterally to the EU Delegation in Jakarta by the ACTS Implementation Team.</p> <p>In addition to the delivery of these products, ARISE accompanied the ACTS Implementation Team on their remote testing of four components with the pilot countries, ARISE accompanying the Singapore and Malaysia testing days. The testing was successful, and provided AMS with a useful introduction to the ACTS functions. The products tested were:</p> <ul style="list-style-type: none"> ○ The User Management System (UMS); ○ The Reference Data System (RDS); ○ The Trader Repository (TR); and ○ The ACTS Gateway. 							
Resources mobilised	<p>Expert days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 2</td> <td>19</td> </tr> <tr> <td>Incidentals</td> <td>€ 12,482.38</td> </tr> </tbody> </table>		Experts	Work Days	KE 2	19	Incidentals	€ 12,482.38
Experts	Work Days							
KE 2	19							
Incidentals	€ 12,482.38							



Activity 2.2.1.10 Develop and deliver ASEAN Customs Transit System (ACTS) training and procedural manuals

Activity 2.2.1.10	Develop and deliver ACTS training material and procedural manuals						
Specific Purpose	To develop and deliver ACTS training material and procedural manuals for Customs.						
Project status	<p>This activity is in progress, and an expert has been identified to do the work. The planned activities include:</p> <ul style="list-style-type: none"> ▪ Workshop(s) to finalise and agree with ASEAN Member States the integrated Customs procedures to be performed at departure, transit and destination; ▪ Workshops to explain ACTS to Customs operational personnel, and the procedures to be performed at departure, transit and destination; ▪ Development of training materials for Customs Officials on how to operate ACTS and its associated procedures; ▪ Delivery of ACTS training to Customs, transport and the trade; and ▪ Based on the agreed procedures, to develop procedural manuals in English for Customs Authorities in procedures dealing with registration and authorisation of traders, guarantee management, procedures at departure, transit and destination and enquiry / claims / recovery procedures, and any other related procedures. 						
Summary of results	The expert identified to carry out this work will arrive in Jakarta in November 2015; the relevant terms of reference are shown at annex 36 to this report.						
Resources mobilised	<p>Expert days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 2</td> <td>3</td> </tr> <tr> <td>Incidentals</td> <td>Nil</td> </tr> </tbody> </table>	Experts	Work Days	KE 2	3	Incidentals	Nil
Experts	Work Days						
KE 2	3						
Incidentals	Nil						



Activity 2.2.1.11 Outreach activities, raising the Awareness and Visibility of ACTS

Activity 2.2.1.11	Raising the Awareness and Visibility of ACTS	
Specific Purpose	To raise the awareness of ACTS among affected stakeholders in the public and private sector.	
Project status	This activity is in its early stages, and is ongoing .	
Summary of results	<ol style="list-style-type: none"> 1. ARISE attended the most recent meeting of the ASEAN-EU Business Council in Kuala Lumpur, Malaysia, and explained plans for the ACTS pilot to the business community; 2. ARISE has participated in a workshop hosted by the Customs Department of Myanmar and the private sector. The ARISE presentation describing the main features of ACTS is at annex 37 to this report. 	
Resources mobilised	Expert days:	
	Experts	Work Days
	KE 2	12
	Incidentals	€ 2,380.66



Sub-Project 2.2.2 Implementation of Key Aspects of Customs Integration

Intermediate Outcome:

ASEAN Customs services more closely integrated.

Activity 2.2.2.7 - Intermediate activity – Intermittent Support for Customs Integration Activities - AEO, mutual assistance and risk management programmes

Activity 2.2.2.7	Support the implementation of key aspects of Customs integration – risk management programmes - AEO, mutual assistance and risk management programmes
Specific Purpose	To provide technical assistance for the further development of aspects of ASEAN AEO, mutual assistance and risk management programmes, specifically to include an analysis of the legislative frameworks, common standards for mutual assistance, mutual recognition of authorised economic operator (AEO) programmes and capacity building needs.
Project status	This activity is awaiting responses from ASEAN Member states to proposals made by ARISE for continued support. The objective is to identify a suitable expert to progress these aspects of Customs integration in ASEAN.
Summary of results	As yet, there are no results on this activity in this reporting period.
Resources mobilised	Expert days: No resources mobilised



Activity 2.2.2.8 Support the implementation of key Strategic Plans for Customs Development (SPCDs) – Authorised Economic Operator (AEO)

Activity 2.2.2.8	Support the implementation of key Strategic Plans for Customs Development (SPCDs) - AEO
Specific Purpose	To support implementation of key aspects of Customs integration, specifically aspects on national Authorised Economic Operators (AEO) programmes and Mutual Recognition of AEO programmes.
Project status	This activity is on-going . Building on the activities carried out in previous reporting periods which included two workshops on AEO and a risk management workshop which included many recommendations on AEO development in ASEAN, ASEAN Member States are considering the best way to make progress on this activity.
Summary of results	ARISE has made proposals set out in the concept note at annex 38 to this report.
Resources mobilised	Expert days: No resources mobilised



Activity 2.2.2.9 Support the implementation of key Strategic Plans for Customs Development (SPCDs) – mutual assistance (MA)

Activity 2.2.2.9	Support the implementation of key Strategic Plans for Customs Development (SPCDs) – mutual assistance
Specific Purpose	To support ASEAN Customs Authorities on mutual assistance arrangements (MA) that will enhance bilateral and multilateral cooperation between and amongst Customs Authorities.
Project status	This activity is in progress. ARISE has made recommendations based on the results of analysis performed by an ARISE expert, and arising from a risk management workshop carried out with ASEAN Member States. The reactions of AMS are awaited. ASEAN has developed a revised Strategic Plan of Customs Development (SPCD) on Customs Enforcement and Mutual Assistance, and ARISE will support these activities, subject to AMS agreement.
Summary of results	Contacts have been made with the regional office of the World Customs Organisation (WCO) so that future activities are co-ordinated with existing methods of diffusion of enforcement information between the Customs Authorities of ASEAN Member States.
Resources mobilised	Expert days: No resources mobilised



Activity 2.2.2.10 Support the implementation of key aspects of Customs integration – risk management programmes

Activity 2.2.2.10	Support the implementation of key aspects of Customs integration - risk management programmes
Specific Purpose	To provide technical assistance for the further development of aspects of ASEAN risk management programmes, specifically to include an analysis of the legislative frameworks, common standards for mutual assistance, mutual recognition of authorised economic operator (AEO) programmes and capacity building needs.
Project status	This activity is in progress.
Summary of results	ARISE has made recommendations to the Customs Authorities of ASEAN Member States for the development of risk management programmes in ASEAN, and their reactions are awaited.
Resources mobilised	Expert days: No resources mobilised

Sub Component 2.3 Enhanced Policy Framework for the ASEAN Quality Infrastructure

Actual Resource Use

Sub Component 2.3	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	12	0	0	0	0	€ 705.85

Sub-Project 2.3.1 Review of the ASEAN Policy and Guidelines on Standards& Conformance

Intermediate Outcome:

Implementation Guideline on Standards and Conformance, which will contribute towards a single market and production base

Activity 2.3.1.3 ASEAN Guidelines on Standards, Technical Regulations and Conformity Assessment Procedures (AG STRACAP)

Activity 2.3.1.3	ASEAN Guidelines on Standards, Technical Regulations and Conformity Assessment Procedures (AG STRACAP)
Specific Purpose	To assist working group 1 (WG 1) in revising the ASEAN Policy Guideline on Standards and Conformance (APSGC), and assisting in the dissemination and implementation by ACCSQ and its product working groups.
Project status	<p>The “ASEAN Guidelines for Standards, Technical Regulations, and Conformity Assessment Procedures (AG STRACAP)” have been published and follow-up is ongoing.</p> <ul style="list-style-type: none"> • The review of the ASEAN Framework Agreement on MRAs is on-going. A discussion on the draft of the revised version, that was developed by ARISE, was deliberated at the WG 1 meeting in June 2015. • The development of additional parts on the Scope of MRAs and terminology to the “Guidelines for the Development of Mutual Recognition Arrangements” is on-going. • These items are to be deliberated at the WG 1 Meeting to held in November 2015 in Bali, Indonesia.
Summary of results	<ul style="list-style-type: none"> • The revised draft developed for the Framework Agreement was reviewed by WG 1 and comments were received and the draft revised, Discussions will continue at the next WG 1 meeting in November 2015. • A compilation of terms and definitions for use in ASEAN MRAs has been deliberated by WG 1 at the June 2015 meeting and no further comments have been received. • The supplements to the ‘Guidelines for the Development of Mutual Recognition Arrangements’ on determination of the scope of MRAS has been deliberated by WG 1. However there has been no consensus and further comments



	<p>received y ARISE have been reviewed and submitted to WG 1. These are to be discussed in the November 2015 meeting.</p> <p>Annex 39 –Extract of Minutes of the June11-12 2015 meeting held in Siem Reap records the progress work undertaken by ARISE.</p>						
Resources Mobilised	<p>Expert Days:</p> <table border="1"><thead><tr><th data-bbox="440 373 906 407">Experts</th><th data-bbox="906 373 1349 407">Work Days</th></tr></thead><tbody><tr><td data-bbox="440 407 906 441">KE 3</td><td data-bbox="906 407 1349 441">9</td></tr><tr><td data-bbox="440 441 906 478">Incidentals</td><td data-bbox="906 441 1349 478">€ 705.85</td></tr></tbody></table>	Experts	Work Days	KE 3	9	Incidentals	€ 705.85
Experts	Work Days						
KE 3	9						
Incidentals	€ 705.85						



Sub-Project 2.3.3 ASEAN Policy on Accreditation and Conformity Assessment

Intermediate Outcome:

ASEAN Guidelines on accreditation and conformity assessment.

Activity 2.3.3.3 Dissemination and Implementation of ASEAN Guidelines for Conformity Assessment and Accreditation

Activity 2.3.3.3	Dissemination and Implementation of ASEAN Guidelines for Conformity Assessment and Accreditation							
Specific Purpose	The activity will assist WG 2 and ACCSQ to develop the policy guide, assist in the dissemination and facilitate implementation by all Member States. The guide on accreditation and conformity assessment provides harmonised requirements to support the implementation of the Sectoral MRAs and the Harmonised Regulatory Regimes that are developed by the Product Working Groups under the ACCSQ.							
Project status	The 'ASEAN Guidelines on Accreditation and Conformity Assessment' are endorsed and published. The work on the development of "ASEAN Guidelines for Laboratory networks" has been on-going. The first draft guidelines have been reviewed by WG 2 and a new version has been developed, incorporating comments received. Annex 40 contains the revised draft.							
Summary of results	<ul style="list-style-type: none"> • The revised version of 'ASEAN Guidelines for Laboratory Networks' drafted and discussed at the WG 2 held in September 2015. The guidelines were also presented at a workshop of the ASEAN Cosmetics Testing Laboratories Committee held on September 3-4th in Bangkok. (Annex 41) for discussion and comment. • WG2 has drawn up a programme for completion target of September 2016. A workshop for discussing the draft guidelines is planned for Q1 of 2016. 							
Resources Mobilised	Expert Days: <table border="1" data-bbox="451 1325 1352 1428"> <thead> <tr> <th data-bbox="451 1325 902 1360">Experts</th> <th data-bbox="902 1325 1352 1360">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 1360 902 1396">KE 3</td> <td data-bbox="902 1360 1352 1396">3</td> </tr> <tr> <td data-bbox="451 1396 902 1428">Incidentals</td> <td data-bbox="902 1396 1352 1428">Nil</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	3	Incidentals	Nil
Experts	Work Days							
KE 3	3							
Incidentals	Nil							



Sub Component 2.4 Harmonisation of Standards in ASEAN

Actual Resource Use

Sub Component 2.4	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	21	0	0	43	0	€ 1,104.64

Sub-Project 2.4.1 Development of an ASEAN Policy on Harmonisation of Standards

Intermediate Outcome:

ASEAN policy for the harmonisation of standards adopted and implemented.

Activity 2.4.1.3 Implementation of ASEAN Guidelines for Harmonisation of Standards

Activity 2.4.1.3	Implementation of ASEAN Guidelines for Harmonisation of Standards									
Specific Purpose	Support and facilitate WG1 and ACCSQ to finalise and implement the policy and guidelines through dissemination to product working groups and adoption by national standards bodies.									
Project status	<p>The implementation of the guidelines for harmonisation of standards is on-going. The guidelines also include the provision for a database on harmonised standards in ASEAN to be established.</p> <p>Work on the development of a database of harmonised standards has been undertaken. The development of software and the compilation of the harmonised standards and populating the database has been completed. Support is additionally provided to ASEAN working groups on harmonisation of standards. The Expert Working Group on Standards for Organic Agriculture(ASOA)</p>									
Summary of results	<ul style="list-style-type: none"> The “ASEAN Guidelines for Harmonised Standards” have been published. Work on the development of software for database of Standards Harmonised in ASEAN has been completed. The database is now hosted in the ASEAN server, URL: http://atr.asean.org/standards/ The lists of standards harmonised in ASEAN by the various working groups have been compiled and entered into the database. It was noted that there have been some inconsistencies in the lists and a report has been submitted to WG 1 for review. (Annex 42) Supported a workshop on the harmonisation and implementation of organic standards for agriculture on 21 October 2015. Annex 43 contains a report of the workshop. 									
Resources Mobilised	<p>Expert Days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 3</td> <td>20</td> </tr> <tr> <td>S-STE</td> <td>26</td> </tr> <tr> <td>Incidentals</td> <td>€ 1,104.64</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	20	S-STE	26	Incidentals	€ 1,104.64
Experts	Work Days									
KE 3	20									
S-STE	26									
Incidentals	€ 1,104.64									



Sub Component 2.5 Integration of Selected Priority Sectors

Actual Resource Use

Sub Component 2.5	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	-	-	58	-	-	93	-	€ 36,203.74

Sub-Project 2.5.1 ASEAN Harmonised Food Control System

Intermediate Outcome:

The inputs are designed to result in the development of a regulatory framework for food safety for ASEAN. This framework will build upon the existing initiatives undertaken by ASEAN Bodies in market integration in the food sector. The work undertaken will lead to enhanced implementation of the ASEAN Common Food Control Requirements, the mutual recognition arrangement for inspection and certification of food products, and the commencement of the operations of the ASEAN Risk Assessment Centre.

Activity 2.5.1.6 Realisation of the ASEAN regulatory framework for food safety and its elements.

Activity 2.5.1.6	Realisation of the ASEAN regulatory framework for food safety and its element
Specific Purpose	To assist the Prepared Foodstuffs Product Working Group, the ASEAN Experts Group on Food Safety, and the related working groups under SOM-AMAF to realise the establishment of a Regulatory Framework for Food Safety in ASEAN.
Project status	<p>Work on the formulation of a food safety policy has been completed.</p> <p>The 5 ASEAN Common Food Control Requirements (ACFCR) that were developed in the previous period have been published.</p> <p>Work on the mapping of ASEAN Member State food safety regulatory profiles in all 10 AMS has been essentially completed by appointed experts.</p>
Summary of results	<ul style="list-style-type: none"> The final version of ASEAN Food Safety Policy developed and endorsed by the ACCSQ, AEGFS, and the Agriculture working Groups. The policy was subsequently endorsed by the senior officers meetings of the Health Ministers, Agriculture and Forestry Ministers and the Economic Ministers. It is now awaiting final approval by the respective Ministers meetings. Annex 44 contains the current version of the policy. The 5 ASEAN Common Food Control Requirement Documents (ACFCR) have been published in hardcopy. Work on the mapping of ASEAN Member State food safety regulatory profiles in all 10 AMS has been essentially completed and country reports are in preparation. These have set the basis for the development of the Regulatory



Framework for Food Safety in ASEAN.									
Resources Mobilised	Expert Days:								
	<table border="1"><thead><tr><th>Experts</th><th>Work Days</th></tr></thead><tbody><tr><td>KE 3</td><td>15</td></tr><tr><td>S-STE</td><td>30</td></tr><tr><td>Incidentals</td><td>€ 7,809.89</td></tr></tbody></table>	Experts	Work Days	KE 3	15	S-STE	30	Incidentals	€ 7,809.89
	Experts	Work Days							
	KE 3	15							
S-STE	30								
Incidentals	€ 7,809.89								



Activity 2.5.1.7 Support the operationalization of the ASEAN Risk Assessment Centre.

Activity 2.5.1.7	Support the operationalization of the ASEAN Risk Assessment Centre									
Specific Purpose	To assist the ASEAN Experts Group on Food Safety directly in achieving its goals of operationalising ARAC and indirectly making Risk Assessment Services available to PFPWG, and the related working groups under SOM-AMAF.									
Project status	The AEGFS proposal to establish an ASEAN Risk Assessment Centre (ARAC) in Kuala Lumpur was endorsed at the ASEAN Health Ministers Meeting in August 2014. Preparations are underway to operationalise ARAC. Malaysia has appointed 2 staff members to the secretariat, basic procedures have been developed and endorsed by the AEGFS and preparations are on-going for a launch event, information brochures and for holding the first scientific committee meeting.									
Summary of results	<ul style="list-style-type: none"> • Draft brochures and information documents have been developed with the support provided assistance by ARISE. • Plans for a launch event to be held in week 3 of March 2016 have been developed. • Plans to hold the first meeting of the Scientific Committee in conjunction with the launch event have been drawn up and endorsed at the 11th AEGFS meeting held in October 2016. This meeting will identify and initiate the first risk assessment projects for ASEAN. The plans for ARAC are as elaborated in Annex 45. 									
Resources Mobilised	Expert Days: <table border="1" data-bbox="448 1083 1349 1224"> <thead> <tr> <th data-bbox="448 1083 902 1125">Experts</th> <th data-bbox="902 1083 1349 1125">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="448 1125 902 1157">KE 3</td> <td data-bbox="902 1125 1349 1157">2</td> </tr> <tr> <td data-bbox="448 1157 902 1188">S-STE</td> <td data-bbox="902 1157 1349 1188">7</td> </tr> <tr> <td data-bbox="448 1188 902 1224">Incidentals</td> <td data-bbox="902 1188 1349 1224">€ 2,363.32</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	2	S-STE	7	Incidentals	€ 2,363.32
Experts	Work Days									
KE 3	2									
S-STE	7									
Incidentals	€ 2,363.32									



Sub-Project 2.5.2 ASEAN Harmonised Cosmetics Scheme

Intermediate Outcome:

Improvements in the implementation of the Harmonised Cosmetics Regulatory Scheme

Activity 2.5.2.4 Enhancing implementation of the ASEAN Harmonised Cosmetics Regulatory Scheme

Activity 2.5.2.4	Enhancing implementation of the ASEAN Harmonised Cosmetics Regulatory Scheme							
Specific Purpose	To assist the ASEAN Member States to enhance the implementation of the AHCRS and assist the ASEAN Cosmetics Committee in the coordination and monitoring of the implementation.							
Project status	<p>The evaluation of the ASEAN Harmonised Cosmetics Regulatory Scheme (AHCRS) was undertaken in AWP 1, recommendations presented to the ASEAN Cosmetics Committee. One of the major recommendations was for an update of the AHCRS. This recommendation has been endorsed by the ACCSQ and the ACC is deliberating on undertaking the update. ARISE continues to provide on demand support and supported a workshop of its ASEAN Testing Laboratory Committee (ACTLC) held in September 3-4 in Bangkok.</p> <p>The recommendations for the follow-up to the evaluation will be deliberated on at its next meeting 5o be held in November 2015.</p>							
Summary of results	<ul style="list-style-type: none"> Support provided a workshop of its ASEAN Testing Laboratory Committee (ACTLC) held in September 3-4 in Bangkok. Inputs and discussions were held for upgrading the role and functions of the ACTLC. Annex 46 provides a record of the outcome of the workshop. 							
Resources Mobilised	<p>Expert Days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 3</td> <td>13</td> </tr> <tr> <td>Incidentals</td> <td>€ 3,467.45</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	13	Incidentals	€ 3,467.45
Experts	Work Days							
KE 3	13							
Incidentals	€ 3,467.45							



Sub-Project 2.5.3 ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime

Intermediate Outcome:

Further Market Integration in the Electrical and Electronic Equipment Sector

Activity 2.5.3.2 Enhancing Market Integration in the EEE Sector

Activity 2.5.3.2	Enhancing Market Integration in the EEE Sector									
Specific Purpose	Evaluation of effectiveness and results of the ASEAN Electrical and Electronic Equipment MRA will provide the Joint Sectoral Committee Electrical and Electronic Equipment and ASEAN Members States with feedback on the level of implementation, benefits, and on any on-going difficulties faced by industry and the regulators. It will assist in the development of recommendations for the AHEEERR and for ASEAN market integration in the sector as envisaged in the AEC Blueprint.									
Project status	<p>This activity is on-going, the evaluation of the implementation of the MRA has been completed and the final report is under compilation. Plans are in place to hold a workshop to elaborate on the findings of the evaluation and present recommendations.</p> <p>An interim report was presented at the JSC EEE meeting held in June 2015 in Singapore.</p>									
Summary of results	<ul style="list-style-type: none"> • Evaluation of the impact of the Electrical and Electronic Equipment MRA completed. • Interim report of the evaluation presented. Annex 47 contains the interim report. 									
Resources Mobilised	<p>Expert Days:</p> <table border="1" data-bbox="451 1192 1349 1331"> <thead> <tr> <th data-bbox="451 1192 906 1228">Experts</th> <th data-bbox="906 1192 1349 1228">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 1228 906 1264">KE 3</td> <td data-bbox="906 1228 1349 1264">13</td> </tr> <tr> <td data-bbox="451 1264 906 1299">S-STE</td> <td data-bbox="906 1264 1349 1299">5.5</td> </tr> <tr> <td data-bbox="451 1299 906 1331">Incidentals</td> <td data-bbox="906 1299 1349 1331">€ 579.00</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	13	S-STE	5.5	Incidentals	€ 579.00
Experts	Work Days									
KE 3	13									
S-STE	5.5									
Incidentals	€ 579.00									



Sub-Project 2.5.4 ASEAN Regulatory Framework in the Automotive Product Sector

Intermediate Outcome:

Recommendations for the development of a regulatory framework for the market integration of automotive product sector

Activity 2.5.4.3 Facilitating Market Integration for the Automotive Sector in ASEAN

Activity 2.5.4.3	Facilitating Market Integration for the Automotive Sector in ASEAN							
Specific Purpose	To organise a workshop for representatives of relevant regulatory agencies in ASEAN: <ul style="list-style-type: none"> i. To facilitate progress towards the establishment of mutual recognition and harmonisation of technical requirements in the automotive sector ii. To establish an understanding of the issues that need to be addressed to develop a long term road map for market integration in the ASEAN Automotive Sector. 							
Project status	The workshop that was planned for AWP II will be implemented in early 2016.							
Summary of results	Nil.							
Resources Mobilised	Expert Days: <table border="1" data-bbox="451 1031 1349 1125"> <thead> <tr> <th data-bbox="451 1031 906 1066">Experts</th> <th data-bbox="906 1031 1349 1066">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 1066 906 1098">KE 3</td> <td data-bbox="906 1066 1349 1098">3</td> </tr> <tr> <td data-bbox="451 1098 906 1125">Incidentals</td> <td data-bbox="906 1098 1349 1125">Nil</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	3	Incidentals	Nil
Experts	Work Days							
KE 3	3							
Incidentals	Nil							



Sub-Project 2.5.5 Market Integration for the Pharmaceutical Sector

Intermediate Outcome:

Recommendations on establishing mutual recognition of Bioequivalence Study Reports for the Pharmaceutical Sector

Activity 2.5.5.2 Support for the Development of Mutual Recognition Arrangements for the Pharmaceutical sector

Activity 2.5.5.2	Support for the Development of Mutual Recognition Arrangements for the Pharmaceutical Sector									
Specific Purpose	To assist the ASEAN Pharmaceutical Products Working Group (PPWG) to support the development of the Mutual Recognition Arrangement for Bio-equivalence (BE) Study Reports. The support will assist in achieving the AEM Key Deliverables 2015, to support the realization of ASEAN Economic Integration.									
Project status	<p>The PPWG has developed an advanced draft of an MRA for bio-equivalence report of generics.</p> <p>ARISE supported workshop for the PPWG on the 24 to 27 October 2015. An expert retained by ARISE shared the experience of the EU of harmonising requirements for generics and elaborated on the current systems practiced by the European Medicines Agency (EMA). ARISE has continued to provide comments on the draft MRA and is has developed recommendations for the PPWG for its next phase and these were presented at a meeting of its heads of delegations held in Manila at the end of October 2015.</p> <p>Annex 48 RRS contains the Programme of the workshop.</p>									
Summary of results	<ul style="list-style-type: none"> Workshop supported for the MRA bioequivalence study reports in ASEAN. The workshop resulted in key recommendations and have been presented t the PPWG. Annex 49 contains a copy of the report and recommendations. 									
Resources Mobilised	<p>Expert Days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 3</td> <td>11</td> </tr> <tr> <td>S-STE</td> <td>5</td> </tr> <tr> <td>Incidentals</td> <td>€ 8,722.58</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	11	S-STE	5	Incidentals	€ 8,722.58
Experts	Work Days									
KE 3	11									
S-STE	5									
Incidentals	€ 8,722.58									



Sub Component 2.6 Enhanced National Quality Infrastructure in CLMV

Actual Resource Use

Sub Component 2.6	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	13	0	0	21.5	0	€ 25,957.14

Sub-Project 2.6.1 Enhancing Quality Infrastructure in CLMV

Intermediate Outcome:

Increase awareness & guide implementation through investigation of obstacles a, gaps and making recommendations for CLMV on the revised ASEAN Guideline on Standards Technical Regulations and Conformity Assessment Procedures and enhanced ASEAN Quality Infrastructure

Activity 2.6.1.1 Implementing the ASEAN Guidelines for the Quality Infrastructure in in CLMV

Activity 2.6.1.1	<i>Implementing the ASEAN Guidelines for the Quality Infrastructure in in CLMV (Sub-Project 2.6.1: Enhancing Quality Infrastructure in CLMV)</i>							
Specific Purpose	National policy makers made aware of the ASEAN Quality Infrastructure and advised on changes needed especially on: <ul style="list-style-type: none"> ▪ ASEAN Guidelines on Standards, Technical Regulations and Conformity Assessment Procedures (AG STRACAP); ▪ ASEAN Guidelines for Harmonisation of Standards; ▪ ASEAN Guidelines for Conformity Assessment and Accreditation; and ▪ This will be followed by assisting in the development of implementation plans. 							
Project Status	Planning							
Summary of Result	Nil							
Resources	Expert days: <table border="1" style="width: 100%;"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 3</td> <td>4</td> </tr> <tr> <td>Incidentals</td> <td>Nil</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	4	Incidentals	Nil
Experts	Work Days							
KE 3	4							
Incidentals	Nil							



Sub-Project 2.6.2 Support for Implementation of harmonised regulations in CLMV

Intermediate Outcome:

Development of capacity of CLMV for the implementation of harmonised technical requirements for food product safety and quality, the ASEAN Cosmetics Directive (ACD), the AHEEERR and automotive sector regulations.

Activity 2.6.2.4 Assistance in Development of EEE Legislation in Lao PDR, Myanmar and Viet Nam

Activity 2.6.2.4:	Assistance in Development of EEE Legislation in Lao PDR, Myanmar and Viet Nam.									
Specific Purpose	The activity is undertaken to assist Cambodia, Lao PDR Myanmar and Viet Nam to prepare for the implementation of the new regulations that permit participation in the EEEMRA and implementation of the obligations of the AHEEERR.									
Project status	<p>This activity is continued from AWP 2. In AWP, missions to Lao PDR and Cambodia and Myanmar by an expert undertook investigations, held workshops and made recommendations on developing Electrical Safety Regulations. The work in Cambodia has been completed.</p> <p>In the current plan continued assistance is planned in Viet Nam for conducting awareness workshops, and development of the EEE Regulations for Lao PDR and Myanmar.</p>									
Summary of results	<ul style="list-style-type: none"> Workshops have been held in Hanoi and in Ho Chi Minh City, Viet Nam, in October for stakeholders in the Electrical and Electronic Equipment Sectors in October 2015. Annex 50 and 51 contain details of the workshops. Plans preparations are in place for a mission to Lao PDR to review draft Electrical and Electronic Equipment Safety Regulations later this year. 									
Resources Mobilised	<p>Expert Days:</p> <table border="1"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 3</td> <td>5</td> </tr> <tr> <td>S-STE</td> <td>21.5</td> </tr> <tr> <td>Incidentals</td> <td>€ 9,273.09</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	5	S-STE	21.5	Incidentals	€ 9,273.09
Experts	Work Days									
KE 3	5									
S-STE	21.5									
Incidentals	€ 9,273.09									



Activity 2.6.2.5 Support for the development On-line notification for Cosmetics Regulators

Activity 2.6.2.5	Support for the development On-line notification for Cosmetics Regulators							
Specific Purpose	Assisting Cambodia Lao PDR Myanmar and Vietnam (CLMV) authorities to establish online notifications systems for the ASEAN Cosmetics Directive.							
Project status	<p>ARISE supported a study tour for Cosmetics regulators from Cambodia, Lao PDR, Myanmar and Vietnam to study the online systems in operation in Malaysia and in the Philippines in 2014. This was in support of the ASEAN Cosmetics Committee’s plan to ensure all Member States have capability to accept notifications of cosmetics products on-line.</p> <p>After discussions it was confirmed that the Philippines had offered the software for the on-line systems to other AMS with cost. ARISE agreed to fund the incidental costs.</p>							
Summary of results	<ul style="list-style-type: none"> ▪ The preparations for enabling the installation of the on-line software were made. The FDA, Philippines provided the training and the software without charge and for this purpose. This involved confirming the availability of hardware in the recipient Member States, agreement of the authorities and programmes. ▪ Training for 3 officials from each Lao PDR and 2 from Cambodia on the online notification systems in the Food and Drug Administration, of the Philippines in August 2015. Arrangements were made to fund and support by ARISE. ▪ Two expert from the Philippine FDA to supplied and installed the on-line system software in Lao PDR and in Cambodia in Arrangements were made to support and fund by ARISE in September and October 2015. <p>Annex 52 contains the details of the programme as implemented for Lao PDR and Cambodia.</p>							
Resources Mobilised	<p>Expert Days:</p> <table border="1" data-bbox="451 1171 1321 1278"> <thead> <tr> <th data-bbox="451 1171 886 1213">Experts</th> <th data-bbox="886 1171 1321 1213">Work Days</th> </tr> </thead> <tbody> <tr> <td data-bbox="451 1213 886 1245">KE 3</td> <td data-bbox="886 1213 1321 1245">4</td> </tr> <tr> <td data-bbox="451 1245 886 1278">Incidentals</td> <td data-bbox="886 1245 1321 1278">16,684.05</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	4	Incidentals	16,684.05
Experts	Work Days							
KE 3	4							
Incidentals	16,684.05							



Sub Component 2.7 Stakeholders' Engagement in Integration Initiatives

Actual Resource Use

Sub Component 2.7	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	2	0	0	15.25	0	€ 14,753.94

Sub-Project 2.7.1 Programme for Stakeholder Engagement

Intermediate Outcome

Improvements in Awareness of ASEAN Market Integration initiatives by Stakeholders

Activity 2.7.1.1 Consumer Engagement in ASEAN Food Safety Framework

Activity 2.7.1.1	Consumer Engagement in ASEAN Food Safety Framework									
Specific Purpose	The activity will map the current situation of consumer participation and empowerment in the 10 ASEAN Member States. The target groups of schools have been identified for this stage. The results of the mapping will be utilised for the development of recommendations for enhanced consumer participation in food safety including development of guidelines.									
Project status	The work is undertaken to assist the ASEAN Exerts group on Food Safety (AEGFS). The AEGFS has appointed Indonesia to lead this work. The expert ARISE engaged in October 2014 quit and a new expert was appointed to who is working with the Indonesian agency responsible (BPOM) to implement the programme. Questionnaires for the investigation have been developed and the launching workshop has been planned for May 2015.									
Summary of results	<ul style="list-style-type: none"> An expert was engaged and survey questionnaires were developed and circulated to Member States for the purpose of the activity. The Inception Workshop on Consumer Engagement, Participation and Empowerment was organised in Jakarta, 4 – 5 August 2015. Annex 53 contains the detail results of the workshop. 									
Resources Mobilised	Expert Days: <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Experts</th> <th>Work Days</th> </tr> </thead> <tbody> <tr> <td>KE 3</td> <td>2</td> </tr> <tr> <td>S-STE</td> <td>15.25</td> </tr> <tr> <td>Incidentals</td> <td>€ 14,753.94</td> </tr> </tbody> </table>		Experts	Work Days	KE 3	2	S-STE	15.25	Incidentals	€ 14,753.94
Experts	Work Days									
KE 3	2									
S-STE	15.25									
Incidentals	€ 14,753.94									



COMPONENT 3: STRENGTHENED CAPACITY OF THE ASEAN SECRETARIAT TO SUPPORT AEC IMPLEMENTATION AND INTEGRATION

Sub Component 3.2 Institutional capacity building

Actual Resource Use

Sub Component 3.1	KE1	KE2	KE3	KE4	KE5	S-STE	J-STE	Incidentals
Total	0	0	0	0	0	0	0	€ 0

Sub-Project 3.2.1 Management of the Grant Agreement by the ASEC

Intermediate Outcome:

Significant Progress made in meeting the Seven Pillar Criteria thereby facilitating ASEC becoming a direct recipients of EU fund.

Activity 3.2.1.2 Recruitment and capacity building of new ASEC staff under Grant Contract

Activity 3.2.1.2	Demand driven assistance on grant management
Specific Purpose	Support the development of the institutional capacity of the ASEC in particular, but not limited to, the areas identified in the “Seven Pillar” assessment (such as internal audit and procurement procedures for the ASEC to be fully compliant with the assessment). Other aspects of the ASEAN corporate development plan currently under development might also be supported.
Project status	This activity is ongoing and during the reporting period no requests for assistance were received.
Summary of results	N/A
Resources Mobilised	Expert Days: No resources mobilised



Section 2: OVERVIEW OF USE OF RESOURCES

The following table shows the cumulative use of resources namely from the start of the project on the 1st November 2012 until 30th April 2015. The subsequent table provides the detailed breakdown of the resources mobilised at the activity level.

Cumulative Resources								
Reporting Period	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior Short Term	Incidentals
Inception Period (November 2012- April 2013)	113	37	99	42	50	148	0	€ 60,706.73
2 nd Six Monthly Period (May 2013 - October 2013)	115	122	114	46	68	114	13	€ 86,259.24
3 rd Six Monthly Period (November 2013 - April 2014)	115	108	109	29	37	235.5	61	€ 248,870.21
4 th Six Monthly Period (May 2014 – October 2014)	106	122	116	46	73	212.50	21	€ 242,607.72
5 th Six Monthly Period (November 2014 – April 2015)	116	118	107	56	57	265.5	43	€ 385,915.94
6 th Six Monthly Period (May 2015-October 2015)	100	112	127	44	79	300.75	0	€ 281,774.39
Actual to Date	665	619	672	263	364	1,276.25	138	€ 1,306,134.23
Project Budget	880	880	880	440	440	2,330	240	€ 2,073,350
Balance Remaining	215	261	208	177	76	1,053.75	102	€ 767,215.77



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
Component 0									
Sub Component 0.1	Project management	100		21	7	1			9,504.04
Sub Component 0.2	Visibility								15,126.55
Sub-Component 0.3	Resource management								
Component 1									
Sub Component 1.1									
Sub-Project 1.1.1	High-level EU-ASEAN dialogue								
Activity 1.1.1.2	Facilitating high-level discussions and meetings with EU counterparts						10		
Activity 1.1.1.3	Agriculture and Forestry Sector Reorganising Support						14		
Activity 1.1.1.4	Facilitating high-level discussions and meetings with EU counterparts								22,781.15
Activity 1.1.1.5	Capacity building and knowledge sharing for senior ASEAN Customs officials								27,079.19
Activity 1.1.1.6	Agriculture and Forestry Sector Reorganising Support						15		2,757.34
Sub-Project 1.1.2	Support to the Implementation of ASEAN Trade in Goods Agreement (ATIGA)								



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
Activity 1.1.2.3	Assisting in the development and operationalization of the ATR/NTRs, with particular focus on the notification and transparency of NTMs						6		16,730.79
Activity 1.1.2.4	Assisting in the development and operationalization of the ATR/NTRs, with particular focus on the notification and transparency of NTMs				2.5	78	46		48,023.36
Sub-Project 1.1.3	AEC public outreach								
Activity 1.1.3.2	AEC <i>symposia/roadshows</i> on regional integration opportunities				6		20		5,724.46
Sub Component 1.2									
Sub-Project 1.2.1	Enhanced AEC Scorecard								
Activity 1.2.1.2	Enhanced AEC Scorecard Development				13		2		35.28
Activity 1.2.1.3	AEC Monitoring System Development				3.5				
Sub-Project 1.2.3	ARISE Project M&E System								
Activity 1.2.3.1	ARISE M&E System (Tier 3) (ongoing from AWP1)				9				
Activity 1.2.3.2	ARISE M&E System Design (Tier 3) (ongoing from AWP 1)				3				
Component 2	□								
Sub Component 2.1									
Sub-Project 2.1.1	Supporting the implementation of ASEAN transport facilitation								



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
	agreements								
Activity 2.1.1.4	Supporting the implementation of protocol 3 of AFAFGIT		3						
Activity 2.1.1.5	Supporting the implementation protocol 4 of AFAFGIT		3						889.60
Activity 2.1.1.6	Supporting the implementation of protocol 3 & 4 of AFAFGIT – types and quality of road vehicles / technical requirements of vehicles		18						955.62
Activity 2.1.1.7	Supporting the implementation of the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT)		4						
Activity 2.1.1.8	Supporting the implementation of the ASEAN Framework Agreement on Inter-State Transport (AFAFIST)		2						
Sub-Project 2.1.3	Support for the implementation of Protocol 5 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT)								
Activity 2.1.3.3	Support the implementation of protocol 5 of AFAFGIT		2				15		1,076.86
Activity 2.1.3.4	Support for the implementation of Protocol 5 of AFAFGIT – ASEAN scheme of compulsory motor vehicle insurance		3						741.27



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
Sub Component 2.2									
Sub-Project 2.2.1									
Support for the implementation of the ACTS									
Activity 2.2.1.6	Finalise ACTS technical annexes to Protocol 7 of AFAFGIT		3						1,646.42
Activity 2.2.1.7	ACTS system development oversight								23,823.81
Activity 2.2.1.8	Finalise ACTS technical annexes to Protocol 7 of AFAFGIT – ACTS		40						11,268.09
Activity 2.2.1.9	Monitor ACTS pilot system development		19						12,482.38
Activity 2.2.1.10	Develop and deliver ACTS training material and procedural manuals		3						
Activity 2.2.1.11	Raising the Awareness and Visibility of ACTS		12						2,380.66
Sub-Project 2.2.2									
Supporting the implementation of key aspects of Customs Integration									
Activity 2.2.2.4	Support the implementation of Authorised Economic Operator Programmes								22.21
Sub Component 2.3									
Sub-Project 2.3.1									
Review of the ASEAN Policy Guideline on Standards and Conformance (APGSC)									
Activity 2.3.1.3	ASEAN Guidelines on Standards, Technical Regulations and Conformity Assessment Procedures (AG STRACAP)			9					705.85



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
Sub-Project 2.3.3	Developing ASEAN policy on accreditation and conformity assessment								
Activity 2.3.3.3	Dissemination and Implementation of ASEAN Guidelines for Conformity Assessment and Accreditation			3					
Sub Component 2.4									
Sub-Project 2.4.1	Development of an ASEAN Policy on Harmonisation of Standards								
Activity 2.4.1.2	Finalisation and implementation of Policy and Guidelines for Harmonised Standards			1			17		
Activity 2.4.1.3	Implementation of ASEAN Guidelines for Harmonisation of Standards			20			26		1,104.64
Sub Component 2.5									
Sub-Project 2.5.1	Development of a common regulatory framework for food safety								
Activity 2.5.1.5	Development of ASEAN food safety policy recommendations and road map for the realisation of a regulatory framework for food safety						37.5		11,181.81
Activity 2.5.1.6	Realisation of the ASEAN regulatory framework for food safety and its elements			15			30		7,809.89
Activity 2.5.1.7	Support the operationalisation of the ASEAN Risk Assessment Centre			2			7		2,363.32
Sub-Project 2.5.2	Implementation of the ACHRS								



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
Activity 2.5.2.4	Enhancing implementation of the harmonised cosmetics regulatory scheme			13					3,467.45
Sub-Project 2.5.3	Implementation of the AHEEERR								
Activity 2.5.3.1	Evaluation of the implementation of the ASEAN EEE MRA						8		1,203.00
Activity 2.5.3.2	Enhancing Market Integration in the EEE Sector			13			5.5		579.00
Sub-Project 2.5.4	Development of Regulatory Framework in the Automotive Product Sector								
Activity 2.5.4.2	Facilitating Market Integration for the Automotive Sector in ASEAN			1					876.69
Activity 2.5.4.3	Facilitating Market Integration for the Automotive Sector in ASEAN			3					
Sub-Project 2.5.5	Market Integration for the Pharmaceutical Sector								
Activity 2.5.5.2	Support for the Development of Mutual Recognition Arrangements for the Pharmaceutical Sector			11			5		8,722.58
Sub Component 2.6									
Sub-Project 2.6.1	Enhancing Quality Infrastructure in CLMV								
Activity 2.6.1.1	Implementing the ASEAN Guidelines for the Quality Infrastructure in CLMV			4					
Sub-Project 2.6.2	Support for implementation of harmonised regulations in CLMV								



Resources mobilised at the activity level									
	Title	KE1	KE2	KE3	KE4	KE5	Senior Short Term	Junior short term	Incidentals (€)
Activity 2.6.2.4	Assistance in Development of EEE Legislation in Cambodia, Lao PDR, Myanmar and Vietnam			5			21.5		9,273.09
Activity 2.6.2.5	Support for the development On-line notification for Cosmetics Regulators			4					16,684.05
Sub-Project 2.7.1	Programme for stakeholder engagement								
Activity 2.7.1.1	Consumer engagement in ASEAN Food Safety Framework			2			15.25		14,753.94
Component 3									
Sub Component 3.2									
Sub-Project 3.2.1	Management of the Grant Agreement by the ASEC								
Activity 3.2.1.2	Demand-driven assistance on Grant Contract management								
Totals of overall		100	112	127	44	79	300.75		281,774.39



Section 3: STATUS UPDATE OF ACTIVITIES (MONITORING TABLE)



AWP 3: 2015/2016

Quarters 1 and 2 activities

Activity		Q1			Status	Q2			Status	Overall Status
		May	June	July		August	September	October		
0.1	Project management	X	X	X	Under implementation	X	X	X	Under implementation	Ongoing
0.2	Visibility	X	X	X	Under implementation	X	X	X	Under implementation	Ongoing
0.3	Resource management	X	X	X	Under implementation	X	X	X	Under implementation	Ongoing
1.1.1.4	Facilitating high-level discussions and meetings with EU counterparts				In Planning				In Planning	Planning
1.1.1.5	Capacity building and knowledge sharing for senior ASEAN Customs officials				In Planning		X	X	Completed	Completed
1.1.1.6	ASEAN Agriculture and Forestry Sector Reorganising Support	X	X	X	Completed				Completed	Completed
1.1.2.4	Assisting in the development and operationalization of the ATR/NTRs, with particular focus on the notification and transparency of NTMs	X		X	Under implementation	X	X	X	Under implementation	Ongoing
1.1.3.2	AEC symposia/roadshows on regional integration opportunities				In Planning	X	X	X	Under implementation	Ongoing
1.2.1.3	AEC Monitoring System Development	X		X	Under implementation	X	X	X	Under implementation	Ongoing
1.2.2.5	M&E Training				In Planning				In Planning	Planning
1.2.3.2	Methodology, Design and Scope of ARISE M&E	X	X	X	Under implementation	X	X	X	Under implementation	Ongoing



Activity		Q1			Status	Q2			Status	Overall Status
		May	June	July		August	September	October		
2.1.1.6	Supporting the implementation of protocols 3 & 4 of AFAFGIT - types and quantity of road vehicles / technical requirements of vehicles	X	X	X		X	X	X		Ongoing
2.1.1.7	Supporting the implementation of the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT)			X			X	X		Ongoing
2.1.1.8	Supporting the implementation of the ASEAN Framework Agreement on Inter-State Transport (AFAFIST)									Planning
2.1.2.2	Supporting the finalisation of ASEAN passenger transport agreements – the ASEAN Framework Agreement on Cross-Border Transport of Passengers (AFA-CBTP)			X			X			Ongoing
2.1.3.4	Support for the implementation of Protocol 5 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit – ASEAN scheme of compulsory motor vehicle insurance			X		X	X			Ongoing
2.2.1.8	Finalise ACTS technical annexes to Protocol 7 of AFAFGIT – ASEAN Customs Transit System (ACTS)	X	X	X		X	X	X		Ongoing
2.2.1.9	Monitor ASEAN Customs Transit System (ACTS) pilot system development	X	X	X		X	X	X		Ongoing
2.2.1.10	Develop and deliver ACTS training material and procedural manuals							X		Planning
2.2.1.11	Raising the Awareness and Visibility of ACTS						X			Ongoing
2.2.2.7	Support the implementation of key aspects of Customs integration – risk management programmes - AEO, mutual assistance and risk management programmes									Planning
2.2.2.8	Support the implementation of key Strategic Plans for Customs Development (SPCDs) – AEO			X				X		Ongoing
2.2.2.9	Support the implementation of key Strategic Plans for Customs Development (SPCDs) – mutual assistance (MA)									Planning
2.2.2.10	Support the implementation of key aspects of Customs integration - risk management programmes									Planning



Activity		Q1			Status	Q2			Status	Overall Status
		May	June	July		August	September	October		
2.3.1.3	ASEAN Guidelines on Standards, Technical Regulations and Conformity Assessment Procedures (AG STRACAP)		X							Ongoing
2.3.3.3	Dissemination and Implementation of ASEAN Guidelines for Conformity Assessment and Accreditation – sub activity 1 (sa1) ASEAN Guidelines for Laboratory Networks – sub activity 2 (sa2)			X	sa1	X	X	X	sa2	Ongoing
2.4.1.3	Implementation of ASEAN Guidelines for Harmonisation of Standards			X		X	X	X		Completed
2.5.1.6	Realisation of the ASEAN regulatory framework for food safety and its elements.	X	X	X		X	X	X		Ongoing
2.5.1.7	Support the operationalization of the ASEAN Risk Assessment Centre.					X	X	X		Ongoing
2.5.2.4	Enhancing implementation of the harmonised cosmetics regulatory scheme						X			Ongoing
2.5.3.2	Enhancing Market Integration in the EEE Sector	X	X	X		X	X	X		Ongoing
2.5.4.3	Facilitating Market Integration for the Automotive Sector in ASEAN									Planning
2.5.5.2	Support for the Development of Mutual Recognition Arrangements for the Pharmaceutical Sector						X	X		Ongoing
2.6.1.1	Implementing the ASEAN Guidelines for the Quality Infrastructure in CLMV									Planning
2.6.2.4	Assistance in Development of EEE Legislation in Cambodia, Lao PDR and Myanmar	X	X	X		X	X	X		Ongoing
2.6.2.5	Support for the development On-line notification for Cosmetics Regulators			X		X	X	X		Ongoing
2.7.7.1	Consumer Engagement in ASEAN Food Safety Framework					X	X			Ongoing
3.2.1.2	Demand-driven assistance on Grant Contract management	X	X	X		X	X	X		Ongoing



Section 4: List of Meetings and Workshops

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Paul Mandl	1	27 - 30 May 2015	Manila, the Philippines	SEOM 2/46 Meeting
	2	6 - 7 July 2015	Hanoi, Vietnam	Two-Day National Workshop on ATR/NTR/NTMs and Notification Procedures under the ATIGA,
	3	10 July 2015	Kuala Lumpur, Malaysia	Meeting with the Ministry of Health of Malaysia
	4	9 - 11 July 2015	Kuala Lumpur, Malaysia	Participate in SEOM 3/46 Meeting
	5	14 July 2015	Singapore	To meet the new chairman of ACTS sub working group
	6	20 - 21 July 2015	Bangkok, Thailand	ARASFF National Contact Point Meeting
	7	7 - 8 September 2015	Bangkok, Thailand	ACCSQ Strategic Planning Session
	8	15 - 17 September 2015	Singapore	10TH CPTFWG Sub-working group on the ASEAN Custom Transit System (SWG-ACTS)
	9	13 October 2015	Hanoi, Viet Nam	Workshop on Raising Awareness of Stakeholder in Viet Nam on the implementation of ASEAN EEE MRA and EHEEERR
	10	15 October 2015	Ho Chi Minh City, Viet Nam	Workshop on Raising Awareness of Stakeholder in Viet Nam on the implementation of ASEAN EEE MRA and EHEEERR
	11	21 October 2015	Yogyakarta, Indonesia	ASEAN Expert Group on Food Safety (AEGFS) Workshop.
	12	27 October 2015	Singapore	Consultation with ACCSQ on Post 2015 strategy
	13	27 October 2015	Singapore	Consultation with ACCSQ on Post 2015 strategy



Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Glyn Evans	1	12 May 2015	Singapore	To examine the border clearance procedures for goods being transported by road, as carried out by all agencies, with a view to simplification and rationalisation in preparation for the implementation of ACTS, the ASEAN Customs Transit System, Tuas and Woodlands
	2	7 - 8 July 2015	Bangkok, Thailand	To pursue the development of vehicle insurance procedures under protocol 5 of AFAFGIT, designed to facilitate the movement of transit goods vehicles across ASEAN land borders
	3	14 July 2015	Singapore	To meet the new chairman of ACTS sub working group
	4	17 - 21 August 2015	Singapore and KL	To assist ASEAN Member States with remote testing of ACTS software components - Reference Data System (RDS), Trader Repository (TR), ACSN Gateway (AGW) and User Management System (UMS)
	5	23 August 2015	Kuala Lumpur.	ASEAN-EU Business Summit
	6	26 - 28 August 2015	Yogyakarta	30 th ASEAN Transport Facilitation Working Group Meeting (TFWG) and 7 th ASEAN Transit Transport Coordinating Board Meeting (TTCB),
	7	15-17 September 2015	Singapore	10TH CPTFWG Sub-working group on the ASEAN Custom Transit System (SWG-ACTS)
	8	20-23 October 2015	Belgium and The Port of Antwerp, Brussels,	Study visit to DG TAXUD
	9	26 – 27 October 2015	Yangon , Myanmar	Workshop with Myanmar Customs in Yangon and private sector



Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Rajinder Raj Sud	1	11-15 May 2015	Singapore	21 st ACCSQ-APWG Meeting
	2	26-27 May 2015	Singapore	22 nd ACTLC Meeting
	3	28-29 May 2015	Singapore	ACC Meeting
	4	9-11 June 2015	Singapore	19 th JSC EEE Meeting
	5	11-12 June 2015	Siem Reap, Cambodia	34 th WG 1 Meeting
	6	13 October 2015.	Hanoi, Viet Nam	Workshop on Raising Awareness of Stakeholders in Vietnam on the Implementation of ASEAN EEE MRA and EHEEERR in Hanoi, participate in
	7	15 October 2015.	Ho Chi Minh	Workshop on Raising Awareness of Stakeholders in Vietnam on the Implementation of ASEAN EEE MRA and EHEEERR
	8	26 October	Kuala Lumpur, Malaysia	Consultation with ACCSQ on Post 2015 Strategy
	9	27 October	Singapore	Consultation with ACCSQ on Post 2015 Strategy
	10	10 July 2015	Kuala Lumpur, Malaysia	Meeting with the Ministry of Health of Malaysia
	11	9-11 July 2015	Kuala Lumpur, Malaysia	Participate in SEOM 3/46 Meeting
	12	23 August 2015	Kuala Lumpur, Malaysia	ASEAN-EU Business Summit
	13	3-4 September 2015	Bangkok, Thailand	Workshop Strengthening the Role of ACTLC, Function and Network”, Bangkok
	14	3-4 September 2015	Bangkok, Thailand	Workshop on “Strengthening the Role of ACTLC, Function and Network”, Bangkok, 3-4 September 2015
	15	7-8 September 2015	Bangkok, Thailand	ACCSQ Strategic Planning Session,
	16	21 October 2015	Talisay City/Bacolod, the Philippines	Brainstorming Session of the Task Force for the ASEAN Standard on Organic Agriculture (TF-ASOA) with the EU ARISE Team.



Name	No.	Dates	Place	Meetings
Paolo Vergano	1	18-22 May 2015	Siem Reap, Cambodia	18 th CCA Meeting
	2	27-30 May 2015	Manila, the Philippines	SEOM 2/46 Meeting
	3	6-7 July 2015	Hanoi, Viet Nam	Two-Day National Workshop on ATR/NTR/NTMs and Notification Procedures under the ATIGA
	4	9 July 2015 (2nd day)	Manila, the Philippines	Two-Day National Workshop on ATR/NTR/NTMs and Notification Procedures under the ATIGA
	5	9-11 July 2015	Kuala Lumpur, Malaysia,	SEOM 3/46 Meeting
	6	12-15 October 2015	Bandar Seri Begawan, Brunei Darussalam	ASEAN Trade Repository / National Trade Repository / Non-Tariff Measures and Notification Procedures under the ATIGA
	7	28 October 2015.	Hanoi, Viet Nam	To attend the CCA meeting



Section 5: List of Annexes

No	Activity	Annex
Annex 1	Executive Summary of ARISE Mid Term Review	
Annex 2	-Activity 1.1.1.5 -	Programme of High-level Study Visit to Europe for ASEAN Customs Directors General and their senior personnel
Annex 3	-Activity 1.1.1.5 -	DG TAXUD Presentation on Customs Union, compliance and working with the private sector at regional level
Annex 4	-Activity 1.1.1.5 -	DG TAXUD Presentation on Customs Transit in the European Community
Annex 5	-Activity 1.1.1.5 -	DG TAXUD Presentation on EU Electronic Customs Multi-Annual Strategic Plan 2014, programme to support implementation of e-Customs, including Union Customs Code implementation
Annex 6	-Activity 1.1.1.5 -	DG TAXUD Presentation on Customs Decisions System
Annex 7	-Activity 1.1.1.5 -	DG TAXUD Presentation on EU Customs Business Process Management
Annex 8	-Activity 1.1.1.5 -	DG TAXUD Presentation on Customs Data Model, content, World Customs Organisation (WCO) Data Model Compatibility, cooperation with EU Member States
Annex 9	-Activity 1.1.1.5 -	DG TAXUD Presentation on Union Customs Code (UCC) and it Implementing and Delegated Acts
Annex 10	-Activity 1.1.1.5 -	DG TAXUD Presentation on Uniformity of application of Customs Tariff within EU, Binding Tariff Information (BTI), TARIC (Tariff Intégré Communautaire), Combined Nomenclature)
Annex 11	-Activity 1.1.1.5 -	DG TAXUD Presentation on Security in the International Supply Chain
Annex 12	-Activity 1.1.1.5 -	DG TAXUD Presentation on Excise Duties, energy, environment and transport taxes



No	Activity	Annex
Annex 13	-Activity 1.1.1.5 -	DG TAXUD Presentation on electronic detection systems for Customs
Annex 14	-Activity 1.1.1.5 -	DG TAXUD Presentation controlling goods subject to Intellectual Property Rights
Annex 15	-Activity 1.1.1.5 -	Presentation on EU's New Computerised Transit System (NCTS) by Customs & Excise Department of Belgium
Annex 16	-Activity 1.1.1.5 -	Presentation by Customs & Excise Department of Belgium on The Community Transit Procedure
Annex 17	-Activity 1.1.1.5 -	Presentation by Customs & Excise Department of Belgium
Annex 18	-Activity 1.1.1.5 -	Presentation provided at the Port of Antwerp
Annex 19	-Activity 2.1.1.6-	A final version of the ASEAN goods vehicle cross-border permit sticker
Annex 20	-Activity 2.1.1.6-	Draft operating guidelines for goods vehicle cross-border permit
Annex 21	-Activity 2.1.1.6-	Recommended application processes for goods vehicle cross-border permit
Annex 22	-Activity 2.1.1.6-	Explanatory presentation on the operating guidelines for the goods vehicle cross-border permit
Annex 23	-Activity 2.1.1.6-	Presentation on ARISE priorities for the ACTS Pilot Phase
Annex 24	-Activity 2.1.1.6-	Presentation on Terms of Reference for Expert to finalise the implementation guidelines for protocols 3 & 4
Annex 25	-Activity 2.1.1.7-	Terms of Reference for Expert to analyse the current barriers to multi-modal transport within ASEAN, including legal constraints, and to make recommendations for support for implementation of the AFAMT
Annex 26	-Activity 2.1.1.8-	Terms of Reference for Expert to assess the current status of implementation of the AFAFIST
Annex 27	-Activity 2.1.2.2-	Terms of Reference for additional support from ARISE once the AFA-CBTP agreement has been signed by ASEAN



No	Activity	Annex
		Member States.
Annex 28	-Activity 2.1.3.4-	Report of Expert to propose how transporters should be able to obtain 3rd party vehicle insurance in the country of origin, to cover all the countries of transit and destination.
Annex 29	-Activity 2.2.1.8-	Guidance provided to members of the SWG-ACTS by ARISE on handling of the TAD
Annex 30	-Activity 2.2.1.8-	Guidance on recovery procedures
Annex 31	-Activity 2.2.1.8-	Proposals on the ACTS Pilot Strategy
Annex 32	-Activity 2.2.1.8-	Pilot Strategy
Annex 33	-Activity 2.2.1.8-	Options for development of a Central Management Team (CMT)
Annex 34	-Activity 2.2.1.8-	Outline of proposed ACTS activities
Annex 35	-Activity 2.2.1.8-	Terms of Reference for the development of MoU to cater for the necessary legal framework of ACTS Pilot
Annex 36	-Activity 2.2.1.10-	Terms of Reference of Experts to develop and deliver ACTS training material and procedural manuals
Annex 37	-Activity 2.2.1.11-	ARISE Presentation on main features of ACTS
Annex 38	-Activity 2.2.2.8-	Concept Note on Support the implementation of key Strategic Plans for Customs Development (SPCDs) - AEO
Annex 39	-Activity 2.3.1.3-	Extract of Minutes of the June11-12 2015 meeting held in Siem Reap records the progress work undertaken by ARISE
Annex 40	-Activity 2.3.3.3-	Revised draft of "ASEAN Sectoral Networks of Laboratories"
Annex 41	-Activity 2.3.3.3-	Presentation on ASEAN Laboratory Networks and Reference Laboratories



No	Activity	Annex
Annex 42	-Activity 2.4.1.3-	Update from ARISE to the 35th Meeting of ASEAN Consultative Committee for Standards and Quality on Conformity Assessment – Working Group 1
Annex 43	-Activity 2.4.1.3-	Report Workshop on the harmonisation and implementation of organic standards for agriculture on 21 October 2015.
Annex 44	-Activity 2.5.1.6-	Current version of the ASEAN Food Safety Policy
Annex 45	-Activity 2.5.1.7-	The plans for ARAC
Annex 46	-Activity 2.5.2.4-	Report of Workshop of ASEAN Testing Laboratory Committee (ACTLC)
Annex 47	-Activity 2.5.3.2-	Interim Report of Evaluation of the impact of the Electrical and Electronic Equipment MRA
Annex 48	-Activity 2.5.5.2-	Programme of PPWG Workshop on MRA for bio-equivalence Study Reports
Annex 49	-Activity 2.5.5.2-	Report and Recommendations of Support for the Development of Mutual Recognition Arrangements for the Pharmaceutical Sector
Annex 50	-Activity 2.6.2.4-	Details of Workshop for stakeholders in the Electrical and Electronic Equipment Sectors
Annex 51	-Activity 2.6.2.4-	Details of Workshop for stakeholders in the Electrical and Electronic Equipment Sectors
Annex 52	-Activity 2.6.2.5-	Programme for enabling the installation of the on-line software for Cosmetics Regulators for Lao PDR and Cambodia
Annex 53	-Activity 2.7.1.1-	Detail result of the Inception Workshop on Consumer Engagement, Participation and Empowerment